

Analysis of Algorithms

CSE 2320 – Algorithms and Data Structures
Vassilis Athitsos
University of Texas at Arlington

Analysis of Algorithms

- Given an algorithm, some key questions to ask are:
 - How efficient is this algorithm?
 - Can we predict its running time on specific inputs?
 - Should we use this algorithm or should we use an alternative?
 - Should we try to come up with a better algorithm?
- Chapter 2 establishes some guidelines for answering these questions.
- Using these guidelines, sometimes we can obtain easy answers.
 - At other times, getting the answers may be more difficult.

Empirical Analysis

- This is an alternative to the more mathematically oriented methods we will consider.
- Running two alternative algorithms on the same data and comparing the running times can be a useful tool.
 - 1 second vs. one minute is an easy-to-notice difference.
- However, sometimes empirical analysis is not a good option.
 - For example, if it would take days or weeks to run the programs.

Data for Empirical Analysis

- How do we choose the data that we use in the experiments?

Data for Empirical Analysis

- How do we choose the data that we use in the experiments?
 - Actual data.
 - Pros:
 - Cons:
 - Random data.
 - Pros:
 - Cons:
 - Perverse data.
 - Pros:
 - Cons:

Data for Empirical Analysis

- How do we choose the data that we use in the experiments?
 - Actual data.
 - Pros: give the most relevant and reliable estimates of performance.
 - Cons: may be hard to obtain.
 - Random data.
 - Pros: easy to obtain, make the estimate not data-specific.
 - Cons: may be too unrealistic.
 - Perverse data.
 - Pros: gives us worst case estimate, so we can obtain guarantees of performance.
 - Cons: the worst case estimate may be much worse than average performance.

Comparing Running Times

- When comparing running times of two implementations, we must make sure the comparison is fair.
- We are often much more careful optimizing "our" algorithm compared to the "competitor" algorithm.
- Implementations using different programming languages may tell us more about the difference between the languages than the difference between implementations.
- An easier case is when both implementations use mostly the same codebase, and differ in a few lines.
 - Example: the different implementations of Union-Find in Chapter 1.

Avoid Insufficient Analysis

- Not performing analysis of algorithmic performance can be a problem.
 - Many (perhaps the majority) of programmers have no background in algorithms.
 - People with background in algorithmic analysis may be too lazy, or too pressured by deadlines, to use this background.
- Unnecessarily slow software is a common consequence when skipping analysis.

Avoid Excessive Analysis

- Worrying too much about algorithm performance can also be a problem.
 - Sometimes, slow is fast enough.
 - A user will not even notice an improvement from a millisecond to a microsecond.
 - The time spent optimizing the software should never exceed the total time saved by these optimizations.
 - E.g., do not spend 20 hours to reduce running time by 5 hours on a software that you will only run 3 times and then discard.
- Ask yourself: what are the most important bottlenecks in my code, that I need to focus on?
- Ask yourself: is this analysis worth it? What do I expect to gain?

Mathematical Analysis of Algorithms

- Some times it may be hard to mathematically predict how fast an algorithm will run.
- However, we will study a relatively small set of techniques that applies on a relatively broad range of algorithms.
- First technique: find **key operations** and **key quantities**.
 - Identify the important **operations in the program** that constitute the bottleneck in the computations.
 - This way, we can focus on estimating the number of times these operations are performed, vs. trying to estimate the number of CPU instructions and/or nanoseconds the program will take.
 - Identify a few key quantities that measure the **size of the data** that determine the running time.

Finding Key Operations

- We said it is a good idea to identify the important **operations in the code**, that constitute the bottleneck in the computations.
- How can we do that?

Finding Key Operations

- We said it is a good idea to identify the important **operations in the code**, that constitute the bottleneck in the computations.
- How can we do that?
 - One approach is to just think about it.
 - Another approach is to use software profilers, which show how much time is spent on each line of code.

Finding Key Operations

- What were the key operations for Union Find?
 - ???
- What were the key operations for Binary Search?
 - ???
- What were the key operations for Selection Sort?
 - ???

Finding Key Operations

- What were the key operations for Union Find?
 - Checking and changing ids in **Find**.
 - Checking and changing ids in **Union**.
- What were the key operations for Binary Search?
 - Comparisons between numbers.
- What were the key operations for Selection Sort?
 - Comparisons between numbers.
- In all three cases, the running time was proportional to the total number of those key operations.

Finding Key Quantities

- We said that it is a good idea to identify a few key quantities that measure the **size of the data** and that are the most important in determining the running time.
- What were the key quantities for Union-Find?
 - ???
- What were the key quantities for Binary Search?
 - ???
- What were the key quantities for Selection Sort?
 - ???

Finding Key Quantities

- We said that it is a good idea to identify a few key quantities that measure the **size of the data** and that are the most important in determining the running time.
- What were the key quantities for Union-Find?
 - Number of nodes, number of edges.
- What were the key quantities for Binary Search?
 - Size of the array.
- What were the key quantities for Selection Sort?
 - Size of the array.

Finding Key Quantities

- These key quantities are different for each set of data that the algorithm runs on.
- Focusing on these quantities greatly simplifies the analysis.
 - For example, there is a huge number of integer arrays of size 1,000,000, that could be passed as inputs to Binary Search or to Selection Sort.
 - However, to analyze the running time, we do not need to worry about the **contents** of these arrays (which are too diverse), but just about the **size**, which is expressed as a single number.

Describing Running Time

- Rule: most algorithms have a primary parameter **N**, that measures the size of the data and that affects the running time most significantly.
- Example: for binary search, **N** is ???
- Example: for selection sort, **N** is ???
- Example: for Union-Find, **N** is ???

Describing Running Time

- Rule: most algorithms have a primary parameter **N**, that measures the size of the data and that affects the running time most significantly.
- Example: for binary search, **N** is the size of the array.
- Example: for selection sort, **N** is the size of the array.
- Example: for Union-Find, **N** is ???
 - Union-Find is one of many exceptions.
 - Two key parameters, number of nodes, and number of edges, must be considered to determine the running time.

Describing Running Time

- Rule: most algorithms have a primary parameter **N**, that affects the running time most significantly.
- When we analyze an algorithm, our goal is to find a function **f(N)**, such that the running time of the algorithm is **proportional** to **f(N)**.
- Why **proportional** and not **equal**?

Describing Running Time

- Rule: most algorithms have a primary parameter **N**, that affects the running time most significantly.
- When we analyze an algorithm, our goal is to find a function **f(N)**, such that the running time of the algorithm is **proportional** to **f(N)**.
- Why **proportional** and not **equal**?
- Because **the actual running time is not a defining characteristic of an algorithm.**
 - Running time depends on programming language, actual implementation, compiler used, machine executing the code, ...

Describing Running Time

- Rule: most algorithms have a primary parameter **N**, that affects the running time most significantly.
- When we analyze an algorithm, our goal is to find a function **f(N)**, such that the running time of the algorithm is **proportional** to **f(N)**.
- We will now take a look at the most common functions that are used to describe running time.

The Constant Function: $f(N) = 1$

- $f(N) = 1$. What does it mean to say that the running time of an algorithm is described by 1 ?

The Constant Function: $f(N) = 1$

- $f(N) = 1$. What does it mean to say that the running time of an algorithm is described by 1 ?
- It means that the running time of the algorithm is proportional to 1 , which means...

The Constant Function: $f(N) = 1$

- $f(N) = 1$: What does it mean to say that the running time of an algorithm is described by 1 ?
- It means that the running time of the algorithm is proportional to 1 , which means...
 - that the running time is *constant*, or at least bounded by a constant.
- This happens when all instructions of the program are executed only once, or at least no more than a certain fixed number of times.
- If $f(N) = 1$, we say that **the algorithm takes constant time**. This is the best case we can ever hope for.

The Constant Function: $f(N) = 1$

- What algorithm (or part of an algorithm) have we seen whose running time is constant?

The Constant Function: $f(N) = 1$

- What algorithm (or part of an algorithm) have we seen whose running time is constant?
- The **find** operation in the quick-find version of Union-Find.

Logarithmic Time: $f(N) = \log N$

- $f(N) = \log N$: the running time is proportional to the logarithm of N .
- How good or bad is that?

Logarithmic Time: $f(N) = \log N$

- $f(N) = \log N$: the running time is proportional to the logarithm of N .
- How good or bad is that?
 - $\log 1000 \approx$???.
 - The logarithm of one million is about ???.
 - The logarithm of one billion is about ???.
 - The logarithm of one trillion is about ???.

Logarithmic Time: $f(N) = \log N$

- $f(N) = \log N$: the running time is proportional to the logarithm of N .
- How good or bad is that?
 - $\log 1000 \approx 10$.
 - The logarithm of one million is about 20.
 - The logarithm of one billion is about 30.
 - The logarithm of one trillion is about 40.
- Function $\log N$ grows *very* slowly:
- This means that the running time when $N =$ one trillion is only **four times** the running time when $N = 1000$. This is **really good** scaling behavior.

Logarithmic Time: $f(N) = \log N$

- If $f(N) = \log N$, we say that the algorithm takes **logarithmic time**.
- What algorithm (or part of an algorithm) have we seen whose running time is proportional to **$\log N$** ?

Logarithmic Time: $f(N) = \log N$

- If $f(N) = \log N$, we say that the algorithm takes **logarithmic time**.
- What algorithm (or part of an algorithm) have we seen whose running time is proportional to **$\log N$** ?
- Binary Search.
- The **Find** function on the weighted-cost quick-union version of Union-Find.

Logarithmic Time: $f(N) = \log N$

- Logarithmic time commonly occurs when solving a big problem is solved in a sequence of steps, where:
 - Each step reduces the size of the problem by some constant factor.
 - Each step requires no more than a constant number of operations.
- Binary search is an example:
 - Each step reduces the size of the problem by a factor of 2.
 - Each step requires only one comparison, and a few variable updates.

Linear Time: $f(N) = N$

- $f(N) = N$: the running time is proportional to N .
- This happens when we need to do some fixed amount of processing on each input element.
- What algorithms (or parts of algorithms) are examples?

Linear Time: $f(N) = N$

- $f(N) = N$: the running time is proportional to N .
- This happens when we need to do some fixed amount of processing on each input element.
- What algorithms (or parts of algorithms) are examples?
 - The **Union** function in the quick-find version of Union-Find.
 - Sequential search for finding the min or max value in an array.
 - Sequential search for determining whether a value appears somewhere in an array.
 - Is this ever useful? Can't we always just do binary search?

Linear Time: $f(N) = N$

- $f(N) = N$: the running time is proportional to N .
- This happens when we need to do some fixed amount of processing on each input element.
- What algorithms (or parts of algorithms) are examples?
 - The **Union** function in the quick-find version of Union-Find.
 - Sequential search for finding the min or max value in an array.
 - Sequential search for determining whether a value appears somewhere in an array.
 - Is this ever useful? Can't we always just do binary search?
 - If the array is not already sorted, binary search does not work.

N log N Time

- **$f(N) = N \log N$** : the running time is proportional to $N \log N$.
- This running time is commonly encountered, especially in algorithms working as follows:
 - Break problem into smaller subproblems.
 - Solve subproblems independently.
 - Combine the solutions of the subproblems.
- Many sorting algorithms have this complexity.
- Comparing linear to **$N \log N$** time.
 - $N = 1$ million, $N \log N$ is about ???
 - $N = 1$ billion, $N \log N$ is about ???
 - $N = 1$ trillion, $N \log N$ is about ???

N log N Time

- Comparing linear to **N log N** time.
 - N = 1 million, N log N is about 20 million.
 - N = 1 billion, N log N is about 30 billion.
 - N = 1 trillion, N log N is about 40 trillion.
- **N log N** is worse than linear time, but **not by much**.

Quadratic Time

- $f(N) = N^2$: the running time is proportional to the square of N .
- In this case, we say that the running time is **quadratic** to N .
- Any example where we have seen quadratic time?

Quadratic Time

- $f(N) = N^2$: the running time is proportional to the square of N .
- In this case, we say that the running time is **quadratic** to N .
- Any example where we have seen quadratic time?
 - Selection Sort.

Quadratic Time

- Comparing linear, **$N \log N$** , and quadratic time.

N	$N \log N$	N^2
10^6 (1 million)	about 20 million	10^{12} (one trillion)
10^9 (1 billion)	about 30 billion	10^{18} (one quintillion)
10^{12} (1 trillion)	about 40 trillion	10^{24} (one septillion)

- Quadratic time algorithms become impractical (too slow) much faster than linear and **$N \log N$** time algorithms.
- Of course, what we consider "impractical" depends on the application.
 - Some applications are more tolerant of longer running times.

Cubic Time

- $f(N) = N^3$: the running time is proportional to the cube of N .
- In this case, we say that the running time is **cubic** to N .

Cubic Time

- Example of a problem whose solution has cubic running time: the assignment problem.
 - We have two sets **A** and **B**. Each set contains **N** items.
 - We have a cost function **C(a, b)**, assigning a cost to matching an item **a** of **A** with an item **b** of **B**.
 - Find the optimal one-to-one correspondence (i.e., a way to match each element of A with one element of B and vice versa), so that the sum of the costs is minimized.

Cubic Time

- Wikipedia example of the assignment problem:
 - We have three workers, Jim, Steve, and Alan.
 - We have three jobs that need to be done.
 - There is a different cost associated with each worker doing each job.

	Clean bathroom	Sweep floors	Wash windows
Jim	\$1	\$3	\$3
Steve	\$3	\$2	\$3
Alan	\$3	\$4	\$2

- What is the optimal job assignment?
- Cubic running time means that it is too slow to solve this problem for, let's say, $N = 1$ million.

Exponential Time

- $f(N) = 2^N$: this is what we call **exponential running time**.
- Such algorithms are usually too slow unless **N** is small.
- Even for **N** = 100, 2^N is too large and the algorithm will not terminate in our lifetime, or in the lifetime of the Universe.
- Exponential time arises when we try all possible combinations of solutions.
 - Example: travelling salesman problem: find an itinerary that goes through each of **N** cities, visits no city twice, and minimizes the total cost of the tickets.
- Quantum computers (if they ever arrive) may solve **some** of these problems with manageable running time.

Some Useful Constants and Functions

symbol	value
e	2.71828...
γ (gamma)	0.57721...
ϕ (phi)	$(1 + \sqrt{5}) / 2 = 1.61803...$

These tables are for reference. We may use such symbols and functions as we discuss specific algorithms.

function	name	approximation
$\lfloor x \rfloor$	floor function	x
$\lceil x \rceil$	ceiling function	x
F_N	Fibonacci numbers	$\phi^N / \sqrt{5}$
H_N	harmonic numbers	$\ln(N) + \gamma$
$N!$	factorial function	$(N / e)^N$
$\lg(N!)$		$N \lg(N) - 1.44N$

Motivation for Big-Oh Notation

- Given an algorithm, we want to find a function that describes the running time of the algorithm.
- Key question: how much data can this algorithm handle in a reasonable time?
- There are some details that we would actually **NOT** want this function to include, because they can make a function unnecessarily complicated.
 - Constants.
 - Behavior fluctuations on small data.
- The Big-Oh notation, which we will see in a few slides, achieves that, and greatly simplifies algorithmic analysis.

Why Constants Are Not Important

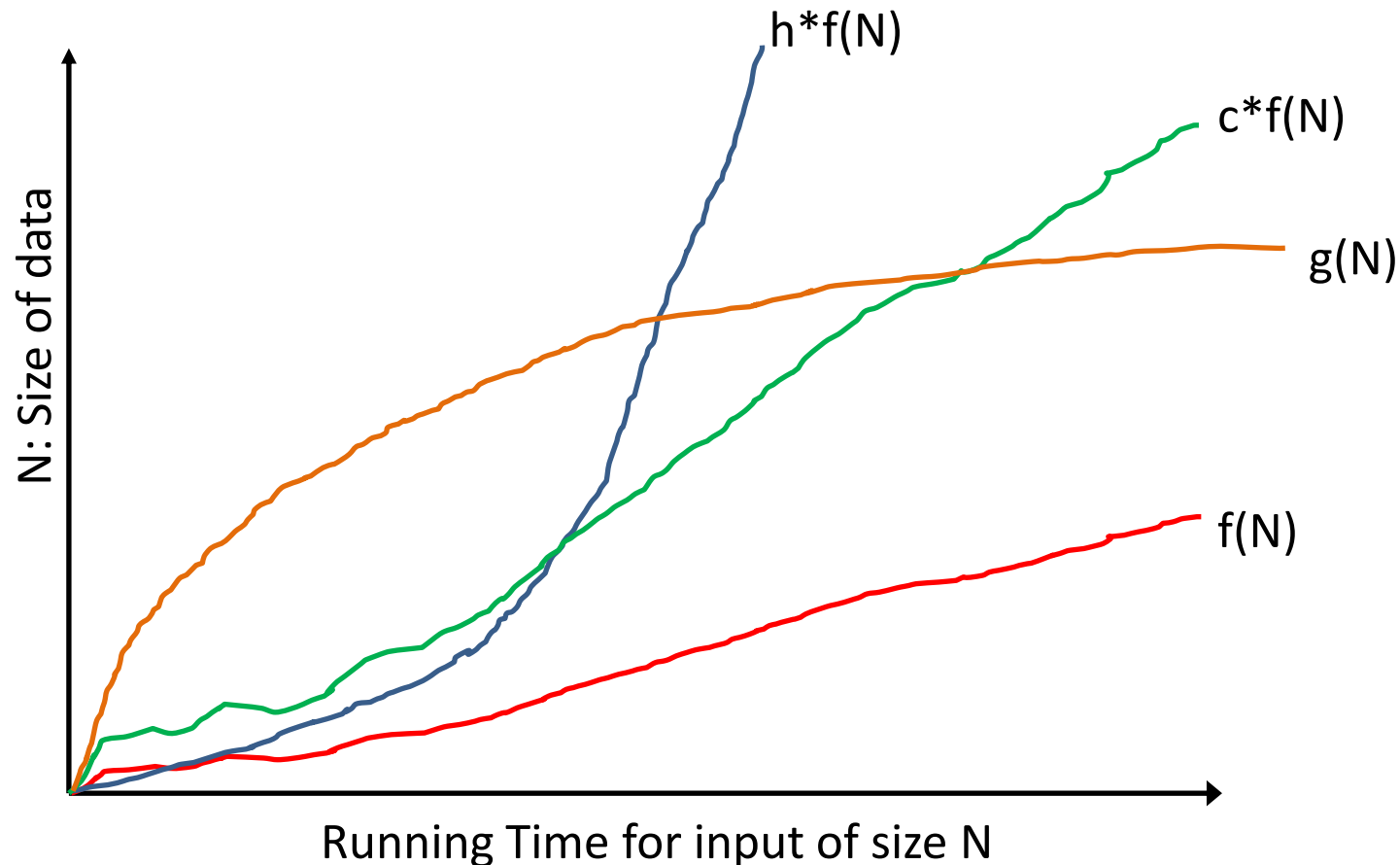
- Does it matter if the running time is $f(N)$ or $5 \cdot f(N)$?

Why Constants Are Not Important

- Does it matter if the running time is $f(N)$ or $5 \cdot f(N)$?
- For the purposes of algorithmic analysis, it typically does NOT matter.
- Constant factors are NOT an inherent property of the algorithm. They depend on parameters that are independent of the algorithm, such as:
 - Choice of programming language.
 - Quality of the code.
 - Choice of compiler.
 - Machine capabilities (CPU speed, memory size, ...)

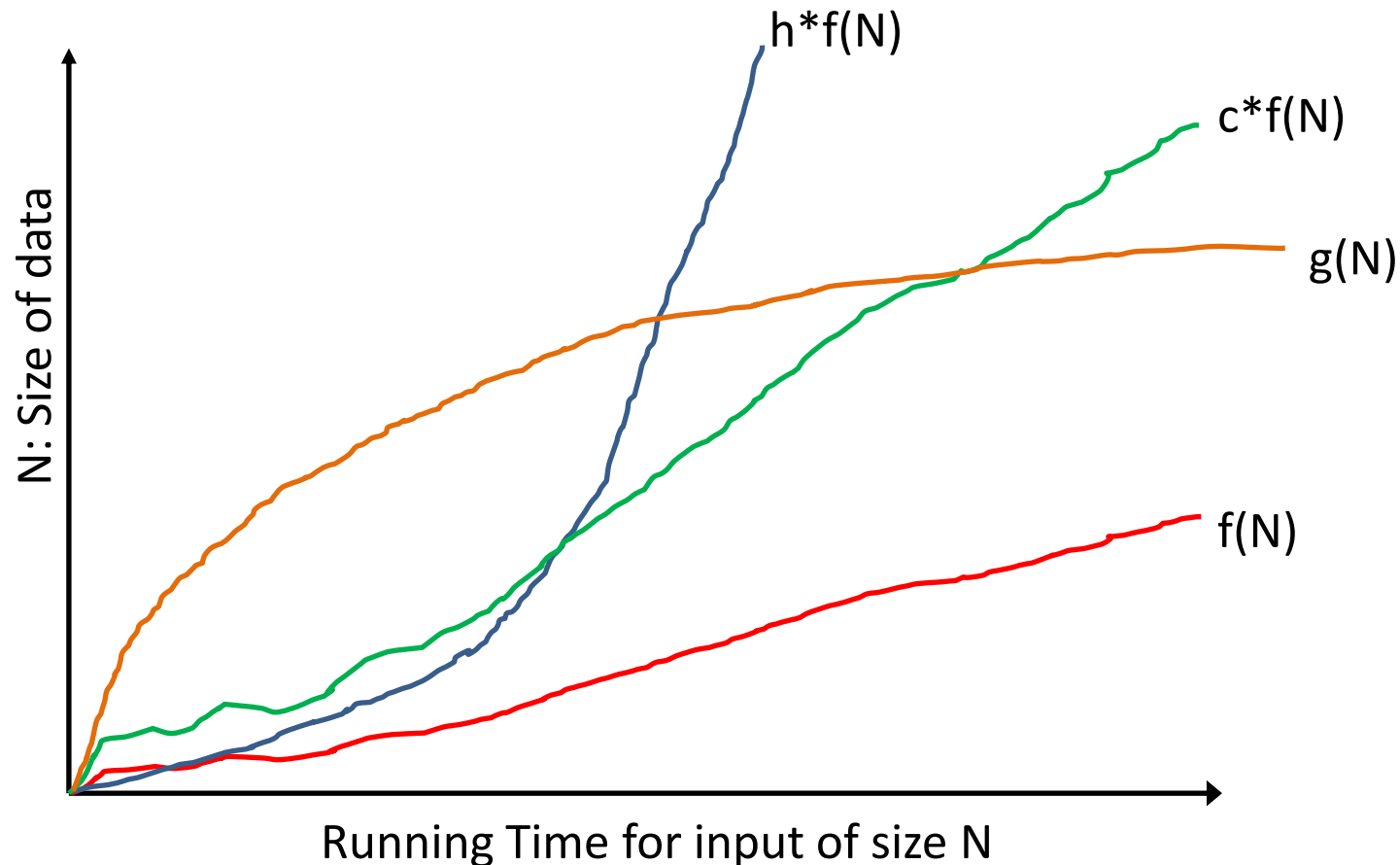
Why Asymptotic Behavior Matters

- Asymptotic behavior: The behavior of a function as the input approaches infinity.



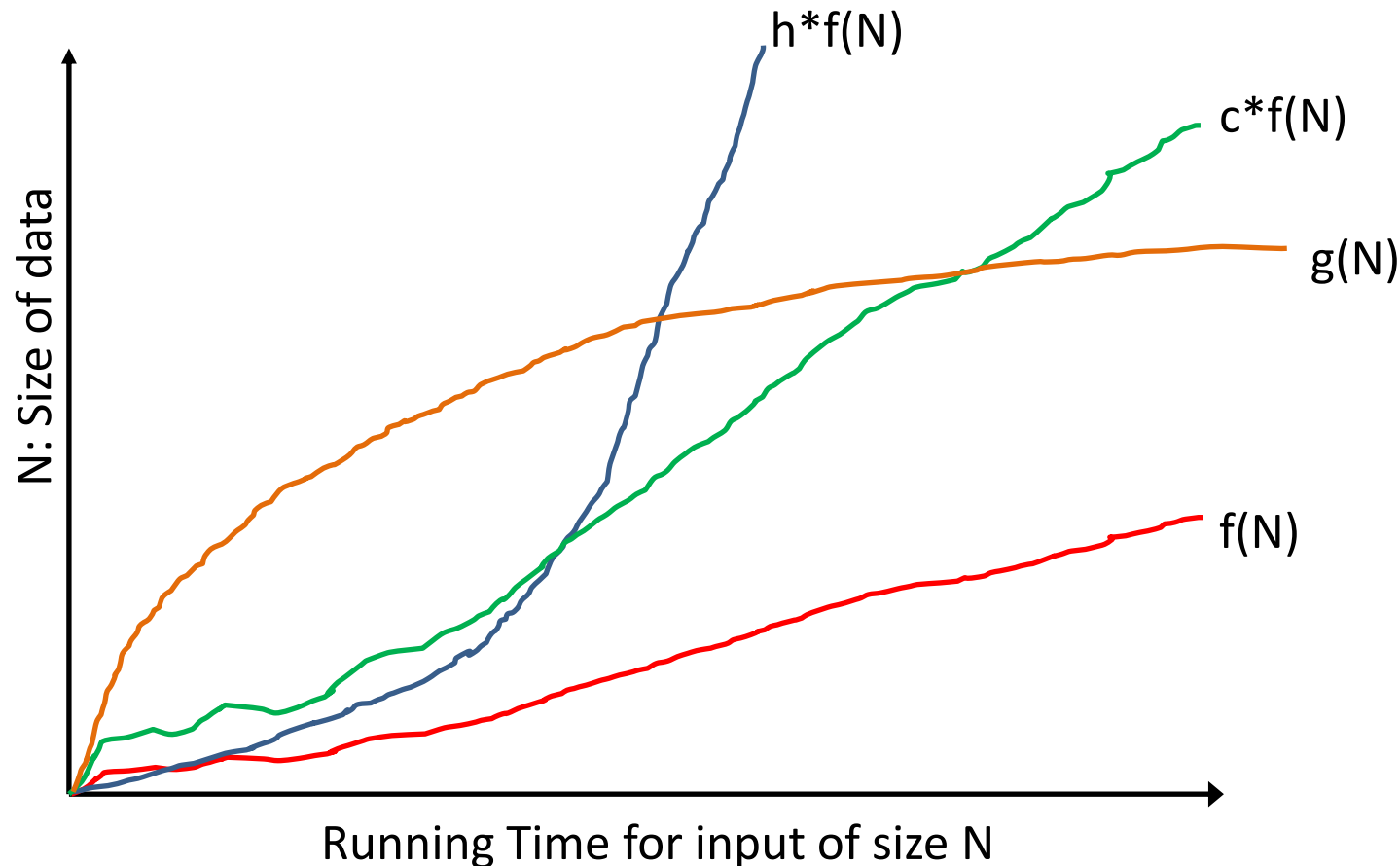
Why Asymptotic Behavior Matters

- Which of these functions works best asymptotically?



Why Asymptotic Behavior Matters

- Which of these functions works best asymptotically?
 - $g(N)$ seems to grow VERY slowly after a while.



Big-Oh Notation

- A function $g(N)$ is said to be $O(f(N))$ if there exist constants c_0 and N_0 such that:

$$g(N) < c_0 f(N) \quad \text{for all } N > N_0.$$

- THIS IS THE SINGLE MOST IMPORTANT THING YOU LEARN IN THIS COURSE.
- Typically, $g(N)$ is the running time of an algorithm, in your favorite units, implementation, and machine. This can be a rather complicated function.
- In algorithmic analysis, we try to find a $f(N)$ that is **simple**, and such that $g(N) = O(f(N))$.

Why Use Big-Oh Notation?

- A function $g(N)$ is said to be $O(f(N))$ if there exist constants c_0 and N_0 such that:

$$g(N) < c_0 f(N) \quad \text{for all } N > N_0.$$

- The Big-Oh notation greatly simplifies the analysis task, by:
 1. Ignoring constant factors. How is this achieved?
 - By the c_0 in the definition. We are free to choose ANY constant c_0 we want, to make the formula work.
 - Thus, Big-Oh notation is independent of programming language, compiler, machine performance, and so on...

Why Use Big-Oh Notation?

- A function $g(N)$ is said to be $O(f(N))$ if there exist constants c_0 and N_0 such that:

$$g(N) < c_0 f(N) \quad \text{for all } N > N_0.$$

- The Big-Oh notation greatly simplifies the analysis task, by:
 2. Ignoring behavior for small inputs. How is this achieved?
 - By the N_0 in the implementation. If a finite number of values are not compatible with the formula, just ignore them.
 - Thus, big-Oh notation focuses on asymptotic behavior.

Why Use Big-Oh Notation?

- A function $g(N)$ is said to be $O(f(N))$ if there exist constants c_0 and N_0 such that:

$$g(N) < c_0 f(N) \quad \text{for all } N > N_0.$$

- The Big-Oh notation greatly simplifies the analysis task, by:
 3. Allowing us to describe complex running time behaviors of complex algorithms with simple functions, such as N , $\log N$, N^2 , 2^N , and so on.
 - Such simple functions are sufficient for answering many important questions, **once you get used to Big-Oh notation.**

Inferences from Big-Oh Notation

- Binary search takes logarithmic time.
- This means that, if $g(N)$ is the running time, there exist constants c_0 and N_0 such that:

$$g(N) < c_0 \log(N) \quad \text{for all } N > N_0.$$

- Can this function handle trillions of data in reasonable time?
 - NOTE: the question is about time, not about memory.

Inferences from Big-Oh Notation

- Binary search takes logarithmic time.
- This means that, if $g(N)$ is the running time, there exist constants c_0 and N_0 such that:

$$g(N) < c_0 \log(N) \quad \text{for all } N > N_0.$$

- Can this function handle trillions of data in reasonable time?
 - NOTE: the question is about time, not about memory.
- The answer is an easy YES!
 - We don't even know what c_0 and N_0 are, and we don't care.
 - The key thing is that the running time is $O(\log(N))$.

Inferences from Big-Oh Notation

- Selection Sort takes quadratic time.
- This means that, if $g(N)$ is the running time, there exist constants c_0 and N_0 such that:

$$g(N) < c_0 N^2 \quad \text{for all } N > N_0.$$

- Can this function handle one billion data in reasonable time?

Inferences from Big-Oh Notation

- Selection Sort takes quadratic time.
- This means that, if $g(N)$ is the running time, there exist constants c_0 and N_0 such that:

$$g(N) < c_0 N^2 \quad \text{for all } N > N_0.$$

- Can this function handle one billion data in reasonable time?
- The answer is an easy NO!
 - Again, we don't know what c_0 and N_0 are, and we don't care.
 - The key thing is that the running time is quadratic.

Is Big-Oh Notation Always Enough?

- NO! Big-Oh notation does not always tell us which of two algorithms is preferable.

Is Big-Oh Notation Always Enough?

- NO! Big-Oh notation does not always tell us which of two algorithms is preferable.
 - Example 1: if we know that the algorithm will only be applied to relatively small N , we may prefer a running time of N^2 nanoseconds over $\log(N)$ centuries.
 - Example 2: even constant factors can be important. For many applications, we strongly prefer a running time of $3N$ over $1500N$.

Is Big-Oh Notation Always Enough?

- NO! Big-Oh notation does not always tell us which of two algorithms is preferable.
 - Example 1: if we know that the algorithm will only be applied to relatively small N , we may prefer a running time of N^2 nanoseconds over $\log(N)$ centuries.
 - Example 2: even constant factors can be important. For many applications, we strongly prefer a running time of $3N$ over $1500N$.
- Big-Oh notation is not meant to tell us everything about running time.
- But, Big-Oh notation tells us a lot, and is often much easier to compute than actual running times.

Simplifying Big-Oh Notation

- Suppose that we are given this running time:
 $g(N) = 35N^2 + 41N + \log(N) + 1532.$
- How can we express $g(N)$ in Big-Oh notation?

Simplifying Big-Oh Notation

- Suppose that we are given this running time:
 $g(N) = 35N^2 + 41N + \log(N) + 1532$.
- How can we express $g(N)$ in Big-Oh notation?
- Typically we say that $g(N) = O(N^2)$.
- The following are also correct, but unnecessarily complicated, and thus less useful, and rarely used.
 - $g(N) = O(N^2) + O(N)$.
 - $g(N) = O(N^2) + O(N) + O(\log N) + O(1)$.
 - $g(N) = O(35N^2 + 41N + \log(N) + 1532)$.

Simplifying Big-Oh Notation

- Suppose that we are given this running time:
 $g(N) = 35N^2 + 41N + \log(N) + 1532.$
- We say that $g(N) = O(N^2).$
- Why is this mathematically correct?
 - Why can we ignore the non-quadratic terms?
- This is where the Big-Oh definition comes into play.
We can find an N_0 such that, for all $N > N_0$:
 $g(N) < 36N^2.$
 - If you don't believe this, do the calculations for practice.

Simplifying Big-Oh Notation

- Suppose that we are given this running time:
 $g(N) = 35N^2 + 41N + \log(N) + 1532.$
- We say that $g(N) = O(N^2).$
- Why is this mathematically correct?
 - Why can we ignore the non-quadratic terms?
- Another way to show correctness: as N goes to infinity, what is the limit of $g(N) / N^2$?

Simplifying Big-Oh Notation

- Suppose that we are given this running time:
 $g(N) = 35N^2 + 41N + \log(N) + 1532.$
- We say that $g(N) = O(N^2).$
- Why is this mathematically correct?
 - Why can we ignore the non-quadratic terms?
- Another way to show correctness: as N goes to infinity, what is the limit of $g(N) / N^2$?
 - 35.
 - This shows that the non-quadratic terms become negligible as N gets larger.

Trick Question

- Let $g(N) = N \log N$.
- Is it true that $g(N) = O(N^{100})$?

Trick Question

- Let $g(N) = N \log N$.
- Is it true that $g(N) = O(N^{100})$?
- Yes. Let's look again at the definition of Big-Oh:
- A function $g(N)$ is said to be $O(f(N))$ if there exist constants c_0 and N_0 such that:

$$g(N) < c_0 f(N) \quad \text{for all } N > N_0.$$

- Note the "<" sign to the right of $g(N)$.
- Thus, if $g(N) = O(f(N))$ and $f(N) < h(N)$, it follows that $g(N) = O(h(N))$.

Omega (Ω) and Theta (Θ) Notations

- If $f(N) = O(g(N))$, then we also say that $g(N) = \Omega(f(N))$.
- If $f(N) = O(g(N))$ **and** $f(N) = \Omega(g(N))$, then we say that $f(N) = \Theta(g(N))$.
- The Theta notation is clearly stricter than the Big-Oh notation:
 - We can say that $N^2 = O(N^{100})$.
 - We **cannot** say that $N^2 = \Theta(N^{100})$.

Big-Oh vs. Theta (Θ) Notation

- The Big-Oh notation indicates a worst-case bound.
- However, oftentimes (almost all the time) we say $g(N) = O(f(N))$ when we could say that $g(N) = \Theta(f(N))$.
- This is not wrong, it is simply not as strict as it could be. Still, in practice we use Big-Oh notation much more frequently than we use Θ notation.
 - This is somewhat similar to saying $x \leq 3$ when we actually know that $x = 3$.

Big-Oh vs. Theta (Θ) Notation

- Why do we use so often Big-Oh instead of Θ ? Partly habit, and partly because we care so much about worst cases.
 - E.g., saying that the running time is $O(N \log N)$ establishes that the running time is not worse than $O(N \log N)$.
- Also, for some problems, coming up with an algorithm that solves the problem in $\Theta(f(N))$ only means that the problem is solvable in $O(f(N))$. Why?

Big-Oh vs. Theta (Θ) Notation

- Why do we use so often Big-Oh instead of Θ ? Partly habit, and partly because we care so much about worst cases.
 - E.g., saying that the running time is $O(N \log N)$ establishes that the running time is not worse than $O(N \log N)$.
- Also, for some problems, coming up with an algorithm that solves the problem in $\Theta(f(N))$ only means that the problem is solvable in $O(f(N))$. Why?
 - Because the algorithm we came up with may not be optimal.
 - Unless we prove that our algorithm is optimal, it is mathematically possible that a better algorithm exists, with lower running time.

Using Limits

- if $\lim_{n \rightarrow \infty} \frac{g(N)}{f(N)}$ is a constant, then $g(N) = O(f(N))$.
 - "Constant" includes zero, but does NOT include infinity.
- if $\lim_{n \rightarrow \infty} \frac{f(N)}{g(N)} = \infty$ then $g(N) = O(f(N))$.
- if $\lim_{n \rightarrow \infty} \frac{f(N)}{g(N)}$ is a constant, then $g(N) = \Omega(f(N))$.
 - Again, "constant" includes zero, but not infinity.
- if $\lim_{n \rightarrow \infty} \frac{f(N)}{g(N)}$ is a **non-zero** constant, then $g(N) = \Theta(f(N))$.
 - In this definition, both zero and infinity are excluded.

Using Limits - Comments

- The previous formulas relating limits to big-Oh notation show once again that big-Oh notation ignores:
 - constants
 - behavior for small values of N .
- How do we see that?
 - In the previous formulas, it is sufficient that the limit is equal to a constant. **The value of the constant does not matter.**
 - In the previous formulas, only **the limit at infinity** matters. This means that we can ignore behavior up to any finite value, if we need to.

Basic Recurrences

- How do we compute the running time of an algorithm in Big-Oh notation?
- Sometimes it is easy, sometimes it is hard.
- We will learn a few simple tricks that work in many cases that we will encounter this semester.

Case 1: Check All Items, Eliminate One

- In this case, the algorithm proceeds in a sequence of similar steps, where:
 - each step loops through all items in the input, and eliminates one item.
- Any examples of such an algorithm?

Case 1: Check All Items, Eliminate One

- In this case, the algorithm proceeds in a sequence of similar steps, where:
 - each step loops through all items in the input, and eliminates one item.
- Any examples of such an algorithm?
 - Selection Sort.

Case 1: Check All Items, Eliminate One

- Let $g(N)$ be an approximate estimate of the running time, measured in time units of our convenience.
 - In this case, we choose as time unit the time that it takes to examine one item.
 - Obviously, this is a simplification, since there are other things that such an algorithm will do, in addition to just examining one item.
 - That is one of the plusses of using Big-Oh notation. We can ignore parts of the algorithm that take a relatively small time to run, and focus on the part that dominates running time.

Case 1: Check All Items, Eliminate One

- Let $g(N)$ be the running time.
- Then, $g(N) = ???$

Case 1: Check All Items, Eliminate One

- Let $g(N)$ be the running time.
- Then, $g(N) = g(N-1) + N$. Why?
 - Because we need to examine all items (N units of time), and then we need to run the algorithm on $N-1$ items.

- $$\begin{aligned}g(N) &= g(N-1) + N \\ &= g(N-2) + (N-1) + N \\ &= g(N-3) + (N-2) + (N-1) + N \\ &\dots \\ &= 1 + 2 + 3 + \dots + (N-1) + N \\ &= N(N + 1) / 2 \\ &= O(N^2)\end{aligned}$$

- Conclusion: The algorithm takes quadratic time.

Case 2: Halve the Problem in Constant Time

- In this case, each step of the algorithm consists of:
 - performing a constant number of operations, and then reducing the size of the input by half.
- Any example of such an algorithm?

Case 2: Halve the Problem in Constant Time

- In this case, each step of the algorithm consists of:
 - performing a constant number of operations, and then reducing the size of the input by half.
- Any example of such an algorithm?
 - Binary Search.
- What is a convenient unit of time to use here?

Case 2: Halve the Problem in Constant Time

- In this case, each step of the algorithm consists of:
 - performing a constant number of operations, and then reducing the size of the input by half.
- Any example of such an algorithm?
 - Binary Search.
- What is a convenient unit of time to use here?
 - The time it takes to do the constant number of operations to halve the input.

Case 2: Halve the Problem in Constant Time

- In this case, each step of the algorithm consists of:
 - performing a constant number of operations, and then reducing the size of the input by half.
- $g(2^n) = ???$

Case 2: Halve the Problem in Constant Time

- In this case, each step of the algorithm consists of:
 - performing a constant number of operations, and then reducing the size of the input by half.
- $$\begin{aligned}g(2^n) &= 1 + g(2^{n-1}) \\ &= 2 + g(2^{n-2}) \\ &= 3 + g(2^{n-3}) \\ &\dots \\ &= n + g(2^0) \\ &= n + 1.\end{aligned}$$
- $O(n)$ time for $N = 2^n$.
- Substituting n for $\log N$: $O(\log N)$ time.

Case 3: Halve the Input in Linear Time

- In this case, each step of the algorithm consists of:
 - Performing a linear (i.e., $O(N)$) number of operations, and then reducing the size of the input by half.
- $g(N) = ???$

Case 3: Halve the Input in Linear Time

- In this case, each step of the algorithm consists of:
 - Performing a linear (i.e., $O(N)$) number of operations, and then reducing the size of the input by half.
- $$\begin{aligned}g(N) &= g(N/2) + N \\ &= g(N/4) + N/2 + N \\ &= g(N/8) + N/4 + N/2 + N \\ &\dots \\ &= 1 + 2 + 4 + \dots + N/4 + N/2 + N \\ &= ???\end{aligned}$$

Case 3: Halve the Input in Linear Time

- In this case, each step of the algorithm consists of:
 - Performing a linear (i.e., $O(N)$) number of operations, and then reducing the size of the input by half.
- $g(N) = g(N/2) + N$
 $= g(N/4) + N/2 + N$
 $= g(N/8) + N/4 + N/2 + N$
 \dots
 $= 1 + 2 + 4 + \dots + N/4 + N/2 + N$
 $= \text{about } 2N$
- $O(N)$ time.

Case 3: Halve the Input in Linear Time

- In this case, each step of the algorithm consists of:
 - Performing a linear (i.e., $O(N)$) number of operations, and then reducing the size of the input by half.
- $g(N) = g(N/2) + N$
 $= g(N/4) + N/2 + N$
 $= g(N/8) + N/4 + N/2 + N$
...
 $= \text{about } 2N$
- What is our unit of time here?

Case 3: Halve the Input in Linear Time

- In this case, each step of the algorithm consists of:
 - Performing a linear (i.e., $O(N)$) number of operations, and then reducing the size of the input by half.
- $$\begin{aligned}g(N) &= g(N/2) + N \\ &= g(N/4) + N/2 + N \\ &= g(N/8) + N/4 + N/2 + N \\ &\dots \\ &= \text{about } 2N\end{aligned}$$
- What is our unit of time here?
 - $1/N$ * time to do the linear number of operations at the first step.

Case 4: Break Problem Into Two Halves in Linear Time

- In this case, each step of the algorithm consists of:
 - Doing $O(N)$ operations to split the problem into two halves.
 - Calling the algorithm recursively on each half.
 - Doing $O(N)$ operations to combine the two answers.
- $g(N) = ???$

Case 4: Break Problem Into Two Halves in Linear Time

- In this case, each step of the algorithm consists of:
 - Doing $O(N)$ operations to split the problem into two halves.
 - Calling the algorithm recursively on each half.
 - Doing $O(N)$ operations to combine the two answers.
- $$\begin{aligned}g(N) &= 2g(N/2) + N \\ &= 4g(N/4) + N + N \\ &= 8g(N/8) + N + N + N \\ &\dots \\ &= N \log N\end{aligned}$$
- What is our unit of time here?

Case 4: Break Problem Into Two Halves in Linear Time

- In this case, each step of the algorithm consists of:
 - Doing $O(N)$ operations to split the problem into two halves.
 - Calling the algorithm recursively on each half.
 - Doing $O(N)$ operations to combine the two answers.
- $$\begin{aligned}g(N) &= 2g(N/2) + N \\ &= 4g(N/4) + N + N \\ &= 8g(N/8) + N + N + N \\ &\dots \\ &= N \log N\end{aligned}$$
- What is our unit of time here?
 - $1/N$ * time to do the $O(N)$ operations at the first step.

Case 4: Break Problem Into Two Halves in Linear Time

- In this case, each step of the algorithm consists of:
 - Doing $O(N)$ operations to split the problem into two halves.
 - Calling the algorithm recursively on each half.
 - Doing $O(N)$ operations to combine the two answers.
- Note: we have not seen any examples of this case yet, but we will see several such examples when we study sorting algorithms.

Case 5: Break Problem Into Two Halves in Constant Time

- In this case, each step of the algorithm consists of:
 - Doing $O(1)$ operations to split the problem into two halves.
 - Calling the algorithm recursively on each half.
 - Doing $O(1)$ operations to combine the two answers.
- $g(N) = ???$

Case 5: Break Problem Into Two Halves in Constant Time

- In this case, each step of the algorithm consists of:
 - Doing $O(1)$ operations to split the problem into two halves.
 - Calling the algorithm recursively on each half.
 - Doing $O(1)$ operations to combine the two answers.
- $$\begin{aligned}g(N) &= 2g(N/2) + 1 \\ &= 4g(N/4) + 2 + 1 \\ &= 8g(N/8) + 4 + 2 + 1 \\ &\dots \\ &= \text{about } N\end{aligned}$$
- What is our unit of time here?

Case 5: Break Problem Into Two Halves in Constant Time

- In this case, each step of the algorithm consists of:
 - Doing $O(1)$ operations to split the problem into two halves.
 - Calling the algorithm recursively on each half.
 - Doing $O(1)$ operations to combine the two answers.
- $$\begin{aligned}g(N) &= 2g(N/2) + 1 \\ &= 4g(N/4) + 2 + 1 \\ &= 8g(N/8) + 4 + 2 + 1 \\ &\dots \\ &= \text{about } N\end{aligned}$$
- What is our unit of time here?
 - The time to do the $O(1)$ operations at the first step.