Elementary Data Structures: Part 1: Arrays, Lists

CSE 2320 – Algorithms and Data Structures Vassilis Athitsos University of Texas at Arlington

Basic Types

- Types like integers, real numbers, characters. In C:
	- int
	- float
	- char
	- and variations: short, long, double, …
- Each basic type takes up a fixed amount of memory.
	- E.g: 32 bits for an int, 32 bits for a float, 8 bits for a char.
	- For C, this may vary, but the above values are common.
- Fixed memory implies limits in range, precision.
	- Integers above and below certain values are not allowed.
	- Real numbers cannot be specified with infinite precision.

Sets and Sequences

- A set is a very basic mathematical notion.
- Since this is not a math class, we can loosely say that a set is a collection of objects.
	- Some of these objects may be sets themselves.
- Sequences are **ordered sets**.
- In sequences, it makes sense to talk of:
	- first element, second element, last element.
	- previous element, next element.
- In sets, order does not matter.

Sets and Sequences in Programs

- It is hard to imagine large, non-trivial programs that do not involve sets or sequences.
- Examples where sets/sequences are involved:
	- Anything involving text:
		- Text is a sequence of characters.
	- Any database, that contains a set of records:
		- Customers.
		- Financial transactions.
		- Inventory.
		- Students.
		- Meteorological observations.
		- …
	- $-$ Any program involving putting items in order (sorting).

Representing Sets and Sequences

- Representing sets and sequences is a common and very important task in software design.
- Our next topic is to study the most popular choices for representing **sequences**.
	- Arrays.
	- Lists.
	- Strings.
- Arrays and lists can store arbitrary types of objects.
- Strings are custom-made to store characters.
- Each choice has its own trade-offs, that we need to understand.

Common Operations

- A data structure representing a sequence must support specific operations:
	- Initialize the sequence.
	- Delete the sequence.
	- Insert an item at some position.
	- Delete the item at some position.
	- Replace the item at some position.
	- Access (look up) the item at some position.
- The position (for insert, delete, replace, access) can be:
	- the beginning of the sequence,
	- or the end of the sequence,
	- $-$ or any other position.

Arrays

- In this course, it is assumed that you all are proficient at using arrays in C.
- IMPORTANT: the material in textbook chapter 3.2 is assumed to be known:
	- How to create an array.
	- How to access elements in an array.
	- Using **malloc** and **free** to allocate and de-allocate memory.
- Here, our focus is to understand the properties of array operations:
	- Time complexity.
	- Space complexity.
	- Other issues/limitations.

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static allocation

(where ARRAY_SIZE is a compile-time constant)

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• If the size of the array is not known when we write the code:

int * array_name = malloc(ARRAY_SIZE * sizeof(int)) (where ARRAY SIZE is a compile-time constant) dynamic allocation

• Any issues/limitations with array initialization?

- Major issue: the size of the array **MUST BE KNOWN** when the array is created.
- Is that always possible?

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	- No, though it does happen some times.
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	- Allocate a size that (hopefully) is large enough.
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- What do we do if the size is not known in advance?
	- What did the textbook do for the examples in Union-Find, Binary Search, and Selection Sort?
	- Allocate a size that (hopefully) is large enough.
- Problems with allocating a "large enough" size:
	- Sometimes the size may not be large enough anyway.
	- Sometimes it can be a huge waste of memory.

Array Initialization and Deletion

- Time complexity of array initialization: constant time.
- How about array deletion? How is that done in C?
- If the array was statically allocated:
- If the array was dynamically allocated:
- Either way, the time complexity is: .

Array Initialization and Deletion

- Time complexity of array initialization: constant time.
- How about array deletion? How is that done in C?
- If the array was statically allocated: we do nothing.
- If the array was dynamically allocated: we call **free**.
- Either way, the time complexity is: *O(1)*.

- "Inserting an item" for arrays can mean two different things.
- When the array is first created, it contains no items.
- The first meaning of "inserting an item" is simply to store a value at a position that previously contained no value.
- What is the time complexity of that?

- "Inserting an item" for arrays can mean two different things.
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- The first meaning of "inserting an item" is simply to store a value at a position that previously contained no value.
- What is the time complexity of that? *O(1)*.

- The second meaning of "inserting an item", which is the meaning we use in this course, is to insert a value at a position between other existing values.
- An example:
	- suppose we have an array of size 1,000,000.
	- suppose we have already stored value at the first 800,000 positions.
	- We want to store a new value at position 12,345, **WITHOUT** replacing the current value there, or any other value.
- We need to move a lot of values one position to the right, to make room.

```
for (i = 800000; i >= 12345; i--)
   a[i] = a[i-1];
a[12345] = new_value;
```
• Why are we going backwards?

```
for (i = 800000; i >= 12345; i--)
   a[i] = a[i-1];
a[12345] = new_value;
```
- Why are we going backwards?
	- To make sure we are not writing over values that we cannot recover.
- If the array size is N, what is the worst-case time complexity of this type of insertion?

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– *O(N)*.

Arrays: Deleting an Item

- Again, we have an array of size 1,000,000.
	- We have already stored value at the first 800,000 positions.
	- We want to delete the value at position 12,345.
	- How do we do that?

Arrays: Deleting an Item

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	- We have already stored value at the first 800,000 positions.
	- We want to delete the value at position 12,345.
	- How do we do that?

```
for (i = 12345; i < 800000; i++)
    a[i] = a[i+1];
```
• If the array size is N, what is the worst-case time complexity of deletion?

Arrays: Deleting an Item

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	- We have already stored value at the first 800,000 positions.
	- We want to delete the value at position 12,345.
	- How do we do that?

```
for (i = 12345; i < 800000; i++)
    a[i] = a[i+1];
```
• If the array size is N, what is the worst-case time complexity of deletion?

```
– O(N).
```
Arrays: Replacing and Accessing

• How do we replace the value at position 12,345 with a new value?

a[12345] = new_value;

• How do we access the value at position 12,345?

 int b = a[12345];

• Time complexity for both: *O(1)*.

Arrays: Summary

- Initialization: *O(1)* time, but must specify the size, which is a limitation.
- Deletion of the array: *O(1)* time, easy.
- Insertion: *O(N)* worst case time.
- Deletion of a single element: *O(N)* worst case time.
- Replacing a value: *O(1)* time.
- Looking up a value: *O(1)* time.
- Conclusions:
	- Arrays are great for looking up values and replacing values.
	- Initialization requires specifying a size, which is limiting.
	- Insertion and deletion are slow.

Linked Lists

- Many of you may have used lists, as they are built-in in many programming languages.
	- Java, Python, C++, …
- They are not built in C.
- Either way, this is the point in your computer science education where you learn to implement lists yourselves.

Contrast to Arrays

- An array is a contiguous chunk of memory.
	- That is what makes it easy, and fast, to access and replace values at specific positions.
	- That is also what causes the need to specify a size at initialization, which can be a problem.
	- That is also what causes insertion and deletion to be slow.
- Linked lists (as we will see in the next few slides) have mostly opposite properties:
	- No need to specify a size at initialization.
	- Insertion and deletion can be fast (though it depends on the information we provide to these functions).
	- Finding and replacing values at specific positions is slow.

The Notion of a Link

- When we create a list, we do not need to specify a size in advance.
	- No memory is initially allocated.
- When we insert an item, we allocate just enough memory to hold that item.
	- This allows lists to use memory very efficiently:
		- No wasting memory by allocating more than we need.
		- Lists can grow as large as they need (up to RAM size).
- Result: list items are not stored in contiguous memory.
	- So, how do we keep track of where each item is stored?
	- Answer: each item knows where the next item is stored.
	- In other words, each item is a **link** to the next item.

Links

typedef struct node * link; struct node {Item item; link next; };

- Note: the Item type can be defined using a **typedef**. It can be an int, float, char, or any other imaginable type.
- A **linked list** is a set of links.

– This definition is simple, but **very important**.

Representing a List

- How do we represent a list in code?
- Initial choice: all we need is the first link. So, lists have the same type as links.

– I don't like that choice, but we must first see how it works.

• How do we access the rest of the links?

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– Step by step, from one link to the next.

• How do we know we have reached the end of the list?

Representing a List

- How do we represent a list in code?
- Initial choice: all we need is the first link. So, lists have the same type as links.

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- How do we access the rest of the links?
	- Step by step, from one link to the next.
- How do we know we have reached the end of the list?
	- Here we need a convention.
	- The convention we will follow: the last link points to NULL.

A First Program

```
#include <stdlib.h>
#include <stdio.h>
```

```
typedef struct node * link;
struct node {int item; link next; };
```

```
main()
{ 
    link the_list = malloc(sizeof(struct node));
    the_list->item = 573;
    the_list->next = NULL;
}
                    marking the end
                    of the list.
```
A First Program

- What does the program in the previous slide do?
	- Not much. It just creates a list with a single item, with value 573.
- Still, this program illustrates some basic steps in creating a list:
	- There is no difference in the code between the list itself and the first link in the list.
	- To denote that there is only one link, the **next** variable of that link is set to **NULL**.
- Next: let's add a couple more links manually.

```
#include <stdlib.h>
#include <stdio.h>
typedef struct node * link;
struct node {int item; link next; };
link newLink(int value)
{ link result = malloc(sizeof(struct node));
   result->item = value;
   result->next = NULL;
}
main()
{ link the_list = newLink(573);
  the list->next = newLink(100);
   the_list->next->next = newLink(200);
} 38
```
- What does the program in the previous slide do?
- It creates a list of three items: 573, 100, 200.
- We also now have a function **new_link** for creating a new link.
	- Important: by default, **new_link** sets the **next** variable of the result to NULL.
- How does the list look like when we add value 573?

$$
the_list \longrightarrow \begin{array}{c}\n 573 \text{ NULL} \\
 \hline \text{item next} \\
 \text{struct node}\n \end{array}
$$

- What does the program in the previous slide do?
- It creates a list of three items: 573, 100, 200.
- We also now have a function **new_link** for creating a new link.
	- Important: by default, **new_link** sets the **next** variable of the result to NULL.
- How does the list look like when we add value 100?

- What does the program in the previous slide do?
- It creates a list of three items: 573, 100, 200.
- We also now have a function **new_link** for creating a new link.
	- Important: by default, **new_link** sets the **next** variable of the result to NULL.
- How does the list look like when we add value 200?

Printing the List

```
void print_list(link my_list)
{
    int counter = 0;
    link i;
   for (i = my list; i != NULL; i = i->next) {
       printf("item %d: %d\n", counter, i->item);
       counter++;
    }
}
```
The highlighted line in red is the CLASSIC way to go through all elements of the list. This is used EXTREMELY OFTEN.

Finding the Length of the List

```
int list_length(link my_list)
{
    int counter = 0;
    link i;
   for (i = my list; i != NULL; i = i->next) {
       counter++;
    }
    return counter;
```
}

- The highlighted line in red is the CLASSIC way to go through all elements of the list. This is used EXTREMELY OFTEN.
- This kind of loop through the elements of a list is called **traversal of the list**.

- Suppose that we want to delete the middle node. What do we need to do?
- Simple approach:

the_list->next = the_list->next->next;

• Any problem with this approach?

the_list->next = the_list->next->next;

573 item Outcome: the_list next 200 item NULL next

• Any problem with this approach? **MEMORY LEAK**

the_list->next = the_list->next->next;

• Fixing the memory leak:

link temp = the_list->next; the_list->next = the_list->next->next; free(temp);

573 item Outcome: the list next 200 item NULL next

Deleting an Item from the Start

Deleting an Item from the Start

• This will work. Any issues?

```
link temp = the_list;
the_list = the_list->next;
free(temp);
```


Deleting an Item from the Start

• This will work. Any issues? It is not that elegant.

– We need to change the value of variable **the_list**.

```
link temp = the_list;
the_list = the_list->next;
free(temp);
```


Inserting an Item

• Suppose we want to insert value 30 between 100 and 200. How do we do that?

Inserting an Item

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```
link new_link = malloc(sizeof(struct node));
new_link->item = 30;
new_link->next = the_list->next;
the_list->next = new_link;
```


Inserting an Item to the Start

• Suppose we want to insert value 30 at the start of the list:

Inserting an Item to the Start

• Suppose we want to insert value 30 at the start of the list:

link new_link = malloc(sizeof(struct node)); new_link->item = 30; new_link->next = the_list; the_list = new_link; 30 item the_list next 100 item next 200 item NULL next

Inserting an Item to the Start

- Suppose we want to insert value 30 at the start of the list:
- Any issues with this code? Again, it is inelegant.
	- As in deleting from the start, we need to change variable **the_list**.

```
link new_link = malloc(sizeof(struct node));
new_link->item = 30;
new_link->next = the_list;
the list = new link;
```


An Example: Reading Integers

```
#include <stdlib.h>
```

```
#include <stdio.h>
```

```
typedef struct node * link;
struct node {int item; link next; };
```

```
main()
```
 }

}

```
{ link the_list = NULL, current_link = NULL;
   while(1)
```

```
 { int number;
```

```
 printf("please enter an integer: ");
 if (scanf("%d", &number) != 1) break;
 link next_item = malloc(sizeof(struct node));
 next_item->item = number; next_item->next = NULL;
 if (the_list == NULL) the_list = next_item;
 else current_link->next = next_item;
 current_link = next_item;
```
Lists: What We Have Done So Far

- Defined a linked list as a set of links.
- Each link contains enough room to store a value, and to also store the address of the next link.
	- Why does each link need to point to the next link? Because otherwise we would not have any way to find the next link.
- Convention: the last link points to NULL.
- Insertions and deletions are handled by updating the link before the point of insertion or deletion.
- The variable for the list itself is set equal to the first link.
	- This is workable, but hacky and leads to inelegant code.

Lists: Next Steps

- Change our convention for representing the list itself.
	- Decouple the list itself from the first link of the list.
- Provide a set of functions performing standard list operations.
	- Initialize a list.
	- Destroy a list.
	- Insert a link.
	- Delete a link.

Representing a List

- First choice: a list is equal to the first link of the list.
- This is hacky. Conceptually, a variable representing a list should not have to change because we insert or delete a link at the beginning.
- The book proposes the "dummy link" solution, which I also don't like as much:
	- The first link of a list is always a dummy link, and thus it never has to change.
- The code in the book uses this solution.
- In class we will use another solution: lists and links are different data types.

The New List Representation

typedef struct struct_list * list; struct struct_list { link first; };

list newList(): ???

The New List Representation

```
typedef struct struct_list * list;
struct struct_list
{ link first; };
list newList()
{
    list result = malloc(sizeof(*result));
    result->first = NULL;
    return result;
}
```
Destroying a List

• How do we destroy a list?

void destroyList(list the_list): ???

Destroying a List

```
void destroyList(list the_list)
{
    link i = the_list->first;
    while(1)
    { 
       if (i == NULL) break;
       link next = i->next;
       free(i);
      i = next; }
    free(the_list);
}
```
• How do insert a link?

 void insertLink(list my_list, link prev, link new_link)

- Assumptions:
	- We want to insert the new link right after link **prev**.
	- Link **prev** is provided as an argument.

```
void insertLink(list my_list, link prev, link new_link)
{
    if (prev == NULL)
    {
       new_link->next = my_list->first;
       my_list->first = new_link;
    }
    else
    {
       new_link->next = prev->next;
       prev->next = new_link;
 }
}
```
• What is the time complexity of **insertLink**?

• What is the time complexity of **insertLink**? *O(1)*.

void insertLink(list my_list, link prev, link new_link)

- Assumptions:
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- What other functions for inserting a link may be useful?

void insertLink(list my_list, link prev, link new_link)

- Assumptions:
	- We want to insert the new link right after link **prev**.
	- Link **prev** is provided as an argument.
- What other functions for inserting a link may be useful?
	- Specifying the position, instead of the previous link.
	- Specifying just a value for the new link, instead of the new link itself.

Deleting a Link

• How do we delete a link?

```
 void deleteNext(list my_list, link x)
```
- Assumptions:
	- The link **x** that we specify as an argument is NOT the link that we want to delete, but the link BEFOFE the one we want to delete. Why?
	- If we know the previous link, we can easily access the link we need to delete.
	- The previous link needs to be updated to point to the next item.

Deleting a Link

```
void deleteNext(list my_list, link x)
{
    link temp = x->next;
    x->next = temp->next;
    free(temp);
```
}

Deleting a Link

- What is the time complexity of **deleteLink**?
- What are the limitations of this version of deleting a link?
- What other versions of deleting a link would be useful?
Deleting a Link

- What is the time complexity of **deleteLink**? *O(1)*.
- What are the limitations of this version of deleting a link?

– We cannot delete the first link of the list.

- What other versions of deleting a link would be useful?
	- Passing as an argument the node itself that we want to delete.
	- How can that be implemented?

Reversing a List

```
void reverse(list the_list)
{ 
    link current = the_list->first;
    link previous = NULL;
    while (current != NULL)
    { 
       link temp = current->next; 
       current->next = previous; 
       previous = current; 
       current = temp; 
 } 
    the_list->first = previous;
}
```
Example: Insertion Sort

- Unlike our implementation for Selection Sort, here we do not modify the original list of numbers, we just creates a new list for the result.
- For each number *X* in the original list:
	- Go through the result list, until we find the first item *Y* that is bigger than *M*.
	- Insert *X* right before that item *Y*.

Insertion Sort Implementation

```
list insertionSort(list numbers)
{
    list result = newList();
    link s;
    for (s = numbers->first; s!= NULL; s = s->next)
    {
       int value = s->item;
       link current = 0;
       link next = result->first;
       while((next != NULL) && (value > next->item))
 {
          current = next;
          next = next->next;
 }
       insertLink(result, current, newLink(value));
    }
    return result;
```
}

Doubly-Linked Lists

- In our implementation, every link points to the next one.
- We could also have every link point to the previous one.
- Lists where each link points both to the previous and to the next element are called **doubly-linked lists**.
- The list itself, in addition to keeping track of the first element, could also keep track of the last element.
- Advantages:
	- To delete a link, we just need that link.
	- It is as easy to go backwards as it is to go forward.
- Disadvantages:
	- More memory per link (one extra pointer).

Summary: Lists vs. Arrays

- N: length of array or list.
- The table shows time of worst cases.
- Other pros/cons:
	- When we create an array we must fix its size.
	- Lists can grow and shrink as needed.

Abstracting the Interface

- When designing a new data type, it is important to hide the details of the implementation from the programmers who will use this data type (including ourselves).
- Why? So that, if we later decide to change the implementation of the data type, no other code needs to change besides the implementation.
- In C, this is doable, but somewhat clumsy.
- C++ and Java were designed to make this task easy.
	- By allowing for member functions.
	- By differentiating between private and public members.

List Interface

- The following files on the course website implement an abstract list interface:
	- list_interface.h
	- list_interface.c
- Other code that wants to use lists can only see what is declared at list interface.h.
	- The actual implementation of lists and nodes is hidden.
- The implementation in list interface.c can change, without needing to change any other code.
	- For example, we can switch between our approach of lists and nodes as separate data types, and the textbook's approach of using a dummy first node. \Box \Box \Box \Box \Box

Circular Lists

• What is a circular list? It is a list where some link points to a previous link.

• When would a circular list be useful?

Circular Lists

• What is a circular list? It is a list where some link points to a previous link.

- When would a circular list be useful?
	- In representing items that can naturally be arranged in a circular order.
	- Examples: months of the year, days of the week, seasons, players in a board game, round-robin assignments, ... **sacing the set** see

The Josephus-Style Election

- This is a toy example of using circular lists.
- *N* people want to elect a leader.
	- They choose a number *M*.
	- They arrange themselves in a circular manner.
	- Starting from some person, they count *M* people, and they eliminate the *M*-th person. That person falls out of the circle.
	- Start counting again, starting from the person right after the one who got eliminated, and eliminate the *M*-th person again.
	- Repeat till one person is left.
- The last person left is chosen as the leader.

Implementing Josephus-Style Election

- If we assign numbers 1 to N to the N people, and we start counting from person 1, then the result is a function of N and M.
- This process of going around in a circle and eliminating every M-th item can be handled very naturally using a circular list.
- Solution: see josephus.c file, posted on course website.
- Note: our abstract interface was built for NULL-terminated lists, not circular lists.
- Still, with one change and one hack (marked on the code), it supports circular lists, at least for the purposes of the Josephus problem.
	- Change: in deleteNext, handle the case where we delete the first link.
	- Hack: make the list NULL-terminated before we destroy it.

Circular Lists: Interesting Problems

- There are several interesting problems with circular lists:
	- Detect if a list is circular.
		- Have in mind that some initial items may not be part of the cycle:

the list
$$
\rightarrow
$$
 30 | \rightarrow 40 | \rightarrow 82 | \rightarrow 25 | \rightarrow 50 |

- Detect if a list is circular **in** *O(N)* **time** (*N* is the number of unique nodes). (This is a good interview question)
- Modifying our abstract list interface to fully support circular lists.
	- Currently, at least these functions would not support it: listLength, printList, destroyList, reverse. And the set of the set of $\frac{85}{35}$

Destructive Functions

void insertLink(list my_list, link prev, link new_link); void deleteNext(list my_list, link x); void reverse(list the_list);

- We call a function **destructive** if it modifies one or more of its input arguments.
- Several of the list functions we have seen are destructive (see examples above).
- We use destructive functions frequently, because they have attractive properties in terms of time and space requirements.
- However, when using destructive functions we must be aware of certain issues.

Issues with Destructive Functions

- The input argument that is modified may be accessible by many parts of the code.
- A common source of bugs is to modify such an input argument, and then assume (in another part of the code) that it has not been modified.
- Using a destructive function requires the programmer to be aware of all possible ramifications.
	- This can be very complicated, in a large program.
- On the other hand, using non-destructive functions makes our life much more simple.
- Then, why do we use destructive functions?
	- Because some times they are far more efficient than other alternatives.

Shallow and Deep Copies

- We say that B is (at some particular moment) a shallow copy of A if:
	- B, at that moment, contains the same information as A.
	- It is possible for changes in A to change B as well, or …
	- it is possible for changes in B to change A as well.
- We say that B is (at some particular moment) a deep copy of A if:
	- B, at that moment, contains the same information as A.
	- If A changes later, B is not affected.
	- If B changes later, A is not affected.

Example: List Deep Copy

```
list listDeepCopy(list input)
{
    list result = newList();
    link in = listFirst(input);
    link previous = NULL;
    while (in != NULL)
    {
       link out = newLink(linkItem(in));
       insertLink(result, previous, out);
       previous = out;
       in = linkNext(in); 
    }
    return result;
```
}

Writing Non-Destructive Functions

- If we want to convert a destructive function to a nondestructive function, a common strategy is:
	- Identify the input arguments that the destructive function changes.
	- In the non-destructive version, make deep copies of those input arguments, and make changes to those deep copies.
	- Possibly return some of those modified deep copies, so they can be used by callers of the function.

Example: Destructive mergeLists

- Write a function that:
	- takes two arguments, a list **target**, and a list **source**.
	- adds all contents of **source** to **target**, effectively merging **source** to **target**.
- Note: at the end of the function, **target** has been changed, to be the result of merging the initial contents of **target** with the contents of **source**.

void mergeListsDestructive(list target, list source)

Example: Destructive mergeLists

```
void mergeListsDestructive(list target, list source)
{
    link previous = NULL;
    link c;
    /* find the last link of target*/ 
    for (c = target->first; c != NULL; c = c->next)
    {
       previous = c;
```

```
 }
```
}

 /* now, previous is the last link of target */ setNext(previous, listFirst(source));

Example: Non-Destructive mergeLists

- Write a function that:
	- takes two arguments, a list **input1**, and a list **input2**.
	- returns a new list, that contains all contents of **input1** and all contents of **input2**.
	- does not change the input arguments.

list mergeLists(list input1, list input2)

Example: Non-Destructive mergeLists

```
list mergeLists(list input1, list input2)
{
    list result = listDeepCopy(input1);
    list temp2 = listDeepCopy(input2);
    mergeListsDestructive(result, temp2);
    free(temp2);
    return result;
```
}

Non-Destructive Insertions?

void insertLink(list list1, link prev, link link1)

• How can we make a non-destructive version of insertLink?

- What would be the time complexity of the nondestructive version?
- Why is the destructive version more popular?

Non-Destructive Insertions?

void insertLink(list list1, link prev, link link1)

- How can we make a non-destructive version of insertLink?
	- Make a deep copy of the list
	- Insert the new link to the deep copy.
	- Return the deep copy (that now includes the new link).
- What would be the time complexity of the nondestructive version?
	- *O(N)*, where *N* is the length of the list.
- Why is the destructive version more popular?
	- It takes *O(1)* time, and also does not duplicate memory.