Quicksort

CSE 2320 – Algorithms and Data Structures
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Sorting

- We have already seen sorting methods in this course.
  - Selection Sort for arrays.
  - Insertion Sort for lists.
- The running time in both cases was $\Theta(N^2)$ for sorting a set of N items.
- This running time is OK for small N, but becomes prohibitive for sorting large amounts of data.
- We will now start reviewing more sophisticated sort methods, with better time complexity.
  - Typically the time complexity will be $\Theta(N \log N)$, but there will also be exceptions.

The Item Type

- In general, the array or list we want to sort contains items of some type, that we will call Item.
- For example, Item can be defined to be:
  - int
  - double
  - char *
  - a pointer to some structure.
- Most of the sorting algorithms we will study work on any Item type.
- In our code, we will specify the Item type as needed using typedef. For example:

```
typedef int Item;
```

The Key

- If Item is a pointer to a structure, then we need to specify which member variable of that structure will be used for sorting.
- This member variable is called the **key** of the object.
- For example, we could have a structure for a person, that includes age, weight, height, address, phone number.
  - If we want to sort based on age, then the key of the structure is age.
  - If we want to sort based on height, then the key of the structure is height.
- For simple data types (numbers, strings), the key of the object can be itself.
- In our code, we will specify the key as needed using a #define macro. For example:

```
#define key(A) (A)
```

The Comparison Operator

- We are sorting in some kind of order.
- The comparison operator C is what defines this order.
- The comparison operator C is a function that takes two objects (of type Item) as arguments, and returns True or False.
- A sequence A_1, A_2, A_3, ..., A_K is sorted if, for all i between 1 and K-1:
  - $C(A_i, A_{i+1})$ is True, OR A_i is equal to A_{i+1}.

The Comparison Operator

- Examples of such operators: <, >.
- However, they can be more complicated:
  - Case-sensitive alphabetical ordering.
  - Case-insensitive alphabetical ordering.
  - ...
- The textbook code (unfortunately) calls every such operator **less**, even if it is set to >. We define **less** as needed using a #define macro. For example:

```
#define less(A, B) (key(A) < key(B))
```

Additional Notation

- To save lines of code, the textbook defines the following macros:
- exch(A, B): swaps the values of A and B.

```
#define less(A, B) (key(A) < key(B))
```

- compexch(A, B): swap values of A and B ONLY IF less(A, B).

```
#define compexch(A, B) if (less(B, A)) exch(A, B)
```

Summary of Definitions and Macros

- In a specific implementation, we must define:
  - Item
  - Key
  - less
- For example:

```
typedef int Item;
#define key(A) (A)
#define less(A, B) (key(A) < key(B))
```

- In all implementations, we use macros exch and compexch:

```
#define exch(A, B) { Item t = A; A = B; B = t; }
#define compexch(A, B) if (less(B, A)) exch(A, B)
```

Quicksort Overview

- Quicksort is the most popular sorting algorithm.
- Extensively used in popular languages (such as C) as the default sorting algorithm.
- The **average** time complexity is $\Theta(N \log N)$.
- Interestingly, the **worst-case** time complexity is $\Theta(N^2)$.
- However, if quicksort is implemented appropriately, the probability of the worst case happening is astronomically small.

Partitioning

- Quicksort is based on an operation called "partitioning".
- Partitioning takes three arguments:
  - An array a[].
  - A left index L.
  - A right index R.
- Partitioning rearranges array elements a[L], a[L+1], ..., a[R].
  - Elements before L or after R are not affected.
- Partitioning returns an index i such that:
  - When the function is done, a[i] is what a[R] was before the function was called.
    - We move a[R] to a[i].
  - All elements between a[L] and a[i-1] are not greater than a[i].
  - All elements between a[i+1] and a[R] are not less than a[i].

Partitioning

- Example: suppose we have this array a[]:

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	90	70	30	60	40	45	80	10	35

- What does partition(a, 0, 9) do in this case?

Partitioning

- Example: suppose we have this array a[]:

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	90	70	30	60	40	45	80	10	35

- What does partition(a, 0, 9) do in this case?
- The last element of the array is 35. 35 is called the **pivot**.
  - Array a[] has:
  - 3 elements less than the pivot.
  - 6 elements greater than the pivot.
- Array a[] is rearranged so that:
  - First we put all values less than the pivot (35).
  - Then, we put the pivot.
  - Then, we put all values greater than the pivot.
- partition(a, 0, 9) returns the new index of the pivot, which is 3.

Partitioning

- Example: suppose we have this array a[]:

position	0	1	2	3	4	5	6	7	8	9
value	17	90	70	30	60	40	45	80	10	35

- How does array a[] look after we call partition(a, 0, 9)?

position	0	1	2	3	4	5	6	7	8	9
value	17	10	30	35	60	40	45	80	90	70

- Note that:
  - Items at positions 0, 1, 2 are not necessarily in sorted order.
  - However, items at positions 0, 1, 2 are all <= 35.
  - Similarly: items at positions 4, ..., 9 are not necessarily in sorted order.
  - However, items at positions 4, ..., 9 are all >= 35.

Partitioning

- Example: suppose we have this array a[]:

position	0	1	2	3	4	5	6	7	8	9
value	17	90	70	30	60	40	45	80	10	35

- What does partition(a, 2, 6) do in this case?

Partitioning

- Example: suppose we have this array a[]:

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	90	70	30	60	40	45	80	10	35

- What does partition(a, 2, 6) do in this case?
- a[6] = 45. 45 is the **pivot**.
  - Array a[2, ..., 6] has:
  - 2 elements less than the pivot.
  - 2 elements greater than the pivot.
- Array a[2, 6] is rearranged so that:
  - First we put all values less than the pivot (45).
  - Then, we put the pivot.
  - Then, we put all values greater than the pivot.
- partition(a, 2, 6) returns the new index of the pivot, which is 4.

Partitioning

- Example: suppose we have this array a[]:

position	0	1	2	3	4	5	6	7	8	9
value	17	90	70	30	60	40	45	80	10	35

- How does array a[] look after we call partition(a, 2, 6)?

position	0	1	2	3	4	5	6	7	8	9
value	17	90	40	30	45	70	60	80	10	35

- Note that:
  - Items at positions 2,3 are not necessarily in sorted order.
  - However, items at positions 2, 3 are all <= 45.
  - Similarly: items at positions 5, 6 are not necessarily in sorted order.
  - However, items at positions 5, 6 are all >= 45.
  - Items at positions 0, 1 and at positions 7, 8, 9, are not affected.

Partitioning Code

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	1	2	3	4	5	6	7	8	9
value	17	90	70	30	60	40	45	80	10	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = -1
- j = 9

```
int partition(Item a[], int l, int r)
{
 int i = l-1, j = r;
 Item v = a[r];
 for (;;)
 {
  while (less(a[++i], v)) ;
  while (less(v, a[--j])) if (j == l) break;
  if (i >= j) break;
  exch(a[i], a[j]);
 }
 exch(a[i], a[r]);
 return i;
}
```

How Partitioning Works

position	0	1	2	3	4	5	6	7	8	9
value	17	90	70	30	60	40	45	80	10	35

- partition(a, 0, 9):
- v = a[9] = 35
- ~~i = -1~~
- j = 9

- i = 0
- a[i] = 17 < 35
- i = 1;
- a[i] = 90. 90 is not < 35, break!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	**0**	**i=1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	90	70	30	60	40	45	80	10	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = 1
- ~~j = 9~~

- j = 8
- a[j] = 10. 35 is not < 10, break!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	i=1	2	3	4	5	6	7	j=8	9
value	17	10	70	30	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = 1
- j = 8

- i is not >= j, we don't break.
- swap values of a[i] and a[j].
- a[i] becomes 10.
- a[j] becomes 90.

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	**0**	**1**	**i=2**	**3**	**4**	**5**	**6**	**7**	**j=8**	**9**
value	17	10	70	30	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- ~~i = 1~~
- j = 8

- i = 2
- a[i] = 70. 70 is not < 35, break!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	1	i=2	3	4	5	j=6	7	8	9
value	17	10	70	30	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = 2
- ~~j = 8~~

- j = 7
- a[j] = 80.
- j = 6
- a[j] = 45

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	**0**	**1**	**i=2**	**j=3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	10	70	30	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = 2
- ~~j = 8~~

- j = 5, a[j] = 40
- j = 4, a[j] = 60
- j = 3, a[j] = 30. 30 < 35, break!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	1	i=2	j=3	4	5	6	7	8	9
value	17	10	30	70	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = 2
- j = 3

- i is not >= j, we don't break.
- swap values of a[i] and a[j].
- a[i] becomes 30.
- a[j] becomes 70.

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	1	2	i=j=3	4	5	6	7	8	9
value	17	10	30	70	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- ~~i = 2~~
- j = 3

- i = 3
- a[i] = 70 > 35, break!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	1	j=2	i=3	4	5	6	7	8	9
value	17	10	30	70	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = 3
- ~~j = 3~~

- j = 2
- a[j] = 30 > 35, break!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	1	j=2	i=3	4	5	6	7	8	9
value	17	10	30	70	60	40	45	80	90	35

- partition(a, 0, 9):
- v = a[9] = 35
- i = 3
- j = 2

- i >= j, we break!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

How Partitioning Works

position	0	1	j=2	i=3	4	5	6	7	8	9
value	17	10	30	35	60	40	45	80	90	70

- partition(a, 0, 9):
- v = a[9] = 35
- i = 3
- j = 2

- a[i] becomes 35
- a[r] becomes 70
- we return i, which is 3.
- DONE!!!

```
int partition(Item a[], int l, int r)
{
  int i = l-1, j = r;
  Item v = a[r];
  for (;;)
  {
    while (less(a[++i], v)) ;
    while (less(v, a[--j])) if (j == l) break;
    if (i >= j) break;
    exch(a[i], a[j]);
  }
  exch(a[i], a[r]);
  return i;
}
```

Quicksort

```
void quicksort(Item a[], int length)
{
  quicksort_aux(a, 0, length-1);
}

void quicksort_aux(Item a[], int l, int r)
{
  int i;
  if (r <= l) return;
  i = partition(a, l, r);
  quicksort_aux(a, l, i-1);
  quicksort_aux(a, i+1, r);
}
```

To sort array a, quicksort works as follows:

- Do an initial partition of a, that returns some position i.
- Recursively do quicksort on:
  - a[0], ..., a[i-1]
  - a[i+1], ..., a[length-1]
- What are the base cases?

Quicksort

```
void quicksort(Item a[], int length)
{
  quicksort_aux(a, 0, length-1);
}

void quicksort_aux(Item a[], int l, int r)
{
  int i;
  if (r <= l) return;
  i = partition(a, l, r);
  quicksort_aux(a, l, i-1);
  quicksort_aux(a, i+1, r);
}
```

To sort array a, quicksort works as follows:

- Do an initial partition of a, that returns some position i.
- Recursively do quicksort on:
  - a[0], ..., a[i-1]
  - a[i+1], ..., a[length-1]
- What are the base cases?
  - Array length 1 (r == l).
  - Array length 0 (r < l).

How Quicksort Works

Before partition(a, 0, 9)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	90	70	30	60	40	45	80	10	35

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
```

How Quicksort Works

After partition(a, 0, 9)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	10	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
```

How Quicksort Works

After partition(a, 0, 9)

position	0	1	2	3	4	5	6	7	8	9
value	17	10	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
```

How Quicksort Works

Before partition(a, 0, 2)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	10	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
        2 = partition(a, 0, 2)
    quicksort_aux(a, 4, 9);
```

How Quicksort Works

After partition(a, 0, 2) (no change)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	10	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
        2 = partition(a, 0, 2)
    quicksort_aux(a, 4, 9);
```

How Quicksort Works

After partition(a, 0, 2) (no change)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	17	10	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
        2 = partition(a, 0, 2)
        quicksort_aux(a, 0, 1);
        quicksort_aux(a, 3, 2);
   quicksort_aux(a, 4, 9);
```

How Quicksort Works

Before partition(a, 0, 1)

position	0	1	2	3	4	5	6	7	8	9
value	17	10	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
        2 = partition(a, 0, 2)
        quicksort_aux(a, 0, 1);
            0 = partition(a, 0, 1);
        quicksort_aux(a, 3, 2);
   quicksort_aux(a, 4, 9);
```

How Quicksort Works

After partition(a, 0, 1)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
        2 = partition(a, 0, 2)
        quicksort_aux(a, 0, 1);
            0 = partition(a, 0, 1);
        quicksort_aux(a, 3, 2);
   quicksort_aux(a, 4, 9);
```

How Quicksort Works

After partition(a, 0, 1)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	10	17	30	35	60	40	45	80	90	70

quicksort_aux(a, 0, 9);

3 = partition(a, 0, 9);

quicksort_aux(a, 0, 2);

2 = partition(a, 0, 2)

quicksort_aux(a, 0, 1);

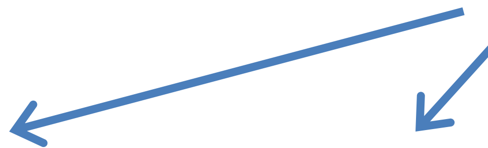
0 = partition(a, 0, 1);

quicksort_aux(a, 0, -1); quicksort_aux(a, 1, 1);

quicksort_aux(a, 3, 2);

quicksort_aux(a, 4, 9);

Base cases.
Nothing to do.

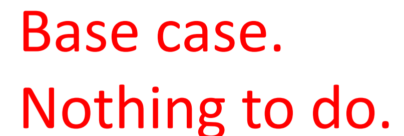


How Quicksort Works

After partition(a, 0, 1)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
        2 = partition(a, 0, 2)
        quicksort_aux(a, 0, 1);
            0 = partition(a, 0, 1);
        quicksort_aux(a, 3, 2);
    quicksort_aux(a, 4, 9);
```



How Quicksort Works

Before partition(a, 4, 9)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	60	40	45	80	90	70

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
```

How Quicksort Works

After partition(a, 4, 9)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	60	40	45	70	90	80

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
```

How Quicksort Works

After partition(a, 4, 9)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	60	40	45	70	90	80

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
        quicksort_aux(a, 4, 6);
        quicksort_aux(a, 8, 9);
```

How Quicksort Works

Before partition(a, 4, 6)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	60	40	45	70	90	80

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
        quicksort_aux(a, 4, 6);
            5 = partition(a, 4, 6);
        quicksort_aux(a, 8, 9);
```

How Quicksort Works

After partition(a, 4, 6)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	40	45	60	70	90	80

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
        quicksort_aux(a, 4, 6);
            5 = partition(a, 4, 6);
        quicksort_aux(a, 8, 9);
```

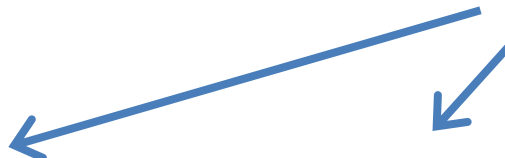
How Quicksort Works

After partition(a, 4, 6)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	10	17	30	35	40	45	60	70	90	80

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
        quicksort_aux(a, 4, 6);
            5 = partition(a, 4, 6);
            quicksort_aux(a, 4, 4);  quicksort_aux(a, 6, 6);
        quicksort_aux(a, 8, 9);
```

Base cases.
Nothing to do.



How Quicksort Works

Before partition(a, 8, 9)

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	10	17	30	35	40	45	60	70	90	80

quicksort_aux(a, 0, 9);

    3 = partition(a, 0, 9);

    quicksort_aux(a, 0, 2);

    quicksort_aux(a, 4, 9);

        7 = partition(a, 4, 9);

        quicksort_aux(a, 4, 6);

        quicksort_aux(a, 8, 9);

            8 = partition(a, 8, 9);

How Quicksort Works

After partition(a, 8, 9)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	40	45	60	70	80	90

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
        quicksort_aux(a, 4, 6);
        quicksort_aux(a, 8, 9);
            8 = partition(a, 8, 9);
```

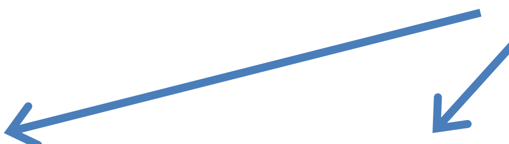
How Quicksort Works

After partition(a, 8, 9)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	40	45	60	70	80	90

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
        quicksort_aux(a, 4, 6);
        quicksort_aux(a, 8, 9);
            8 = partition(a, 8, 9);
            quicksort_aux(a, 8, 7);  quicksort_aux(a, 9, 9);
```

Base cases.
Nothing to do.



How Quicksort Works

After partition(a, 8, 9)

position	0	1	2	3	4	5	6	7	8	9
value	10	17	30	35	40	45	60	70	80	90

```
quicksort_aux(a, 0, 9);
    3 = partition(a, 0, 9);
    quicksort_aux(a, 0, 2);
    quicksort_aux(a, 4, 9);
        7 = partition(a, 4, 9);
        quicksort_aux(a, 4, 6);
        quicksort_aux(a, 8, 9);
            8 = partition(a, 8, 9);
            quicksort_aux(a, 8, 7);  quicksort_aux(a, 9, 9);
```

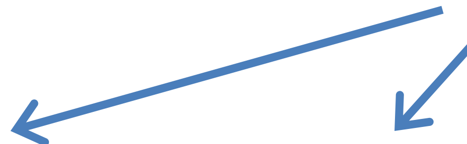
Done!!!

All recursive calls have returned.

The array is sorted.

Base cases.

Nothing to do.



Worst-Case Time Complexity

- The **worst-case** of quicksort is interesting:
- Quicksort has the slowest running time when the input array **is already sorted**.

position	**0**	**1**	**2**	**3**	**4**	**5**	**6**	**7**	**8**	**9**
value	10	17	30	35	42	50	60	70	80	90

- partition(a, 0, 9):
  – scans 10 elements, makes no changes, returns 9.
- partition(a, 0, 8):
  – scans 9 elements, makes no changes, returns 8.
- partition(a, 0, 7):
  – scans 8 elements, makes no changes, returns 7.
- Overall, **worst-case** time is $N+(N-1)+(N-2)+\ldots+1 = \Theta(N^2)$.

Best-Case Time Complexity

- Overall, the worst-case happens when the array is partitioned in an **imbalanced** way:
  - One item, or very few items, on one side.
  - Everything else on the other side.
- The **best case** time complexity for quicksort is when the array is partitioned in a **perfectly balanced** way.
- I.e., when the pivot is always the median value in the array.
- Let T(N) be the best-case running time complexity for quicksort.
- $T(N) = N + 2 * T(N/2)$
- Why? Because to sort the array:
  - We do N operations for the partition.
  - We do to recursive calls, and each call receives half the data.

Best-Case Time Complexity

- For convenience, let $N = 2^n$.
- Assuming that the partition always splits the set into two equal halves, we get:
- $T(2^n) = 2^n + 2 * T(2^{n-1})$

$= 1*2^n + 2^1 * T(2^{n-1})$ step 1

$= 2*2^n + 2^2 * T(2^{n-2})$ step 2

$= 3*2^n + 2^3 * T(2^{n-3})$ step 3

…

$= i*2^n + 2^i * T(2^{n-i})$ step i

…

$= n*2^n + 2^n * T(2^{n-n})$ step n

$= \lg N * N + N * T(0)$

$= \Theta(N \lg N)$.

Average Time Complexity

- The worst-case time complexity is $\Theta(N^2)$.
- The best-case time complexity is $\Theta(N \lg N)$.
- Analyzing the average time complexity is beyond the scope of this class.
- It turns out that the average time complexity is also $\Theta(N \lg N)$.
- On average, quicksort performance is close to that of the best case.
- Why? Because, usually, the pivot value is "close enough" to the 50-th percentile to achieve a reasonably balanced partition.
  - For example, half the times the pivot value should be between the 25-th percentile and the 75th percentile.

Improving Performance

- The basic implementation of quicksort that we saw, makes a partition using the rightmost element as pivot.
  - This has the risk of giving a pivot that is not that close to the 50th percentile.
  - When the data is already sorted, the pivot is the 100th percentile, which is the worst-case.

Improving Performance

- We can improve performance by using as pivot the median of three values:
  - The leftmost element.
  - The middle element.
  - The rightmost element.
- Then, the pivot has better chances of being close to the 50th percentile.
- If the file is already sorted, the pivot is the median.
- Thus, already sorted data is:
  - The worst case (slowest running time) when the pivot is the rightmost element.
  - The best case (fastest run time) when the pivot is the median of the leftmost, middle, and rightmost elements.

The Selection Problem

- The selection problem is defined as follows:
- Given a set of N numbers, find the K-th smallest value.
- K can be anything.
- Special cases:
  - K = 1: we are looking for the minimum value.
  - K = N/2: we are looking for the median value.
  - K = N: we are looking for the maximum value.
- However, K can take other values as well.

Solving The Selection Problem

- Special cases:
- K = 1: we are looking for the minimum value.
  - We can find the solution in linear time, by just going through the array once.
- K = N: we are looking for the maximum value.
  - Again, we can find the solution in linear time.
- What about K = N/2, i.e., for finding the median?
- An easy (but not optimal) approach would be:
  - Sort the numbers using quicksort.
  - Return the middle position in the array.
  - Average time complexity: $\Theta(N \lg N)$.

Solving The Selection Problem

- It turns out we can solve the selection problem in linear time (on average), using an algorithm very similar to quicksort.

```
void quicksort(Item a[], int length)
{
  quicksort_aux(a, 0, length-1);
}

void quicksort_aux(Item a[], int L, int R)
{
  if (R <= L) return;
  int i = partition(a, L, R);
  quicksort_aux(a, L, i-1);
  quicksort_aux(a, i+1, R);
}
```

```
int select(a[], int length, int k)
{
  return select_aux(a, 0, length-1, k);
}

int select_aux(Item a[], int L, int R, int k)
{
  if (R <= L) return;
  int i = partition(a, L, R);
  if (i > k-1) return select_aux(a, L, i-1, k);
  if (i < k-1) return select_aux(a, i+1, R, k);
  return a[k-1];
}
```

Solving The Selection Problem

- Why does this work?
- Suppose that some partition(a, L, R) returned k-1.
- That means that:
- Value a[k-1] was the pivot used in that partition.
- Everything to the left of a[k-1] is <= a[k-1].
- Everything to the right of a[k-1] is >= a[k-1].
- Thus, a[k-1] is the k-th smallest value.

```
int select(a[], int length, int k)
{
  return select_aux(a, 0, length-1, k);
}

int select_aux(Item a[], int L, int R, int k)
{
  if (R <= L) return;
  int i = partition(a, L, R);
  if (i > k-1) return select_aux(a, L, i-1, k);
  if (i < k-1) return select_aux(a, i+1, R, k);
  return a[k-1];
}
```

Solving The Selection Problem

- Suppose that some partition(a, L, R) returned i > k-1.
- Value a[i] was the pivot used in that partition.
- Everything to the left of a[i] is <= a[i].
- Everything to the right of a[i] is >= a[i].
- Thus, a[i] is the i-th smallest value.
- Since k < i, the answer is among items a[L], ..., a[i-1].

```
int select(a[], int length, int k)
{
  return select_aux(a, 0, length-1, k);
}

int select_aux(Item a[], int L, int R, int k)
{
  if (R <= L) return;
  int i = partition(a, L, R);
  if (i > k-1) return select_aux(a, L, i-1, k);
  if (i < k-1) return select_aux(a, i+1, R, k);
  return a[k-1];
}
```

Solving The Selection Problem

- Suppose that some partition(a, L, R) returned i < k-1.
- Value a[i] was the pivot used in that partition.
- Everything to the left of a[i] is <= a[i].
- Everything to the right of a[i] is >= a[i].
- Thus, a[i] is the i-th smallest value.
- Since k > i, the answer is among items a[i+1], ..., a[R].

```
int select(a[], int length, int k)
{
  return select_aux(a, 0, length-1, k);
}

int select_aux(Item a[], int L, int R, int k)
{
  if (R <= L) return;
  int i = partition(a, L, R);
  if (i > k-1) return select_aux(a, L, i-1, k);
  if (i < k-1) return select_aux(a, i+1, R, k);
  return a[k-1];
}
```

Selection Time Complexity

- The **worst-case** time complexity of selection is equivalent to that for quicksort:
  - The pivot is the smallest or the largest element.
  - Then, we did a lot of work to just eliminate one item.

- Overall, **worst-case** time is $N+(N-1)+(N-2)+\ldots+1 = \Theta(N^2)$.
  - Same as for quicksort.

Best-Case Time Complexity

- The **best case** time complexity for selection is also similar to the one for quicksort:
  - When the array is partitioned in a **perfectly balanced** way.
  - That is, when the pivot is always the median value in the array.
- Let $T_Q(N)$ be the best-case running time complexity for quicksort.
- Let T_S be the best-case running time complexity for selection.
- $T_Q(N) = N + 2 * T_Q(N/2)$.
- $T_S(N) = N + T_S(N/2)$.
- Why is the T_S different than the T_Q recurrence?
- In quicksort, we need to process **both parts** of the partition.
- In selection, we only need to process **one part** of the partition.

Best-Case Time Complexity

- For convenience, let $N = 2^n$.
- Assuming that the partition always splits the set into two equal halves, we get:
- $T_S(2^n) = 2^n + T_S(2^{n-1})$

$= 2^n + T_S(2^{n-1})$ step 1

$= 2^n + 2^{n-1} + T_S(2^{n-2})$ step 2

$= 2^n + 2^{n-1} + 2^{n-2} + T_S(2^{n-3})$ step 3

…

$= 2^n + 2^{n-1} + 2^{n-2} + \ldots + 2^1 + T_S(0)$ step n

$= 2^{n+1} - 1 + \text{constant}$

$= 2 * N + \text{constant}$

$= \Theta(N)$.

Average Time Complexity

- The worst-case time complexity is $\Theta(N^2)$.
- The best-case time complexity is $\Theta(N)$.
- The average time complexity is also $\Theta(N)$.
- On average, selection performance is close to that of the best case.
- Why? Because, exactly as in quicksort, usually, the pivot value is "close enough" to the 50-th percentile to achieve a reasonably balanced partition.