

String Matching Algorithms

Topics

- ❑ Basics of Strings
- ❑ Brute-force String Matcher
- ❑ Rabin-Karp String Matching Algorithm
- ❑ KMP Algorithm

In string matching problems, it is required to find the occurrences of a pattern in a text.

These problems find applications in text processing, text-editing, computer security, and DNA sequence analysis.

Find and Change in word processing

Sequence of the human cyclophilin 40 gene

CCCAGTCTGG AATACAGTGG **CGCGATCTCG** GTTCACTGCA

ACCGCCGCCT **CCCGGG**TTCA AACGATTCTC CTGCCTCAGC

CGCGATCTCG : DNA binding protein GATA-1

CCCGGG : DNA binding protein Sma 1

C: Cytosine, G : Guanine, A : Adenosine, T : Thymine

Text : $T[1..n]$ of length n and **Pattern** $P[1..m]$ of length m .
The elements of P and T are characters drawn from a finite alphabet set Σ .

For example $\Sigma = \{0,1\}$ or $\Sigma = \{a,b, \dots, z\}$, or $\Sigma = \{c, g, a, t\}$.
The character arrays of P and T are also referred to as strings of characters.

Pattern P is said to occur with shift s in text T

if $0 \leq s \leq n-m$ and

$T[s+1..s+m] = P[1..m]$ or

$T[s+j] = P[j]$ for $1 \leq j \leq m$,

such a shift is called a valid shift.

The string-matching problem is the problem of finding all valid shifts with which a given pattern P occurs in a given text T .

Brute force string-matching algorithm

To find all valid shifts or possible values of s so that
 $P[1..m] = T[s+1..s+m]$;

There are $n-m+1$ possible values of s .

Procedure **BF_String_Matcher**(T,P)

1. $n \leftarrow \text{length}[T]$;
2. $m \leftarrow \text{length}[P]$;
3. **for** $s \leftarrow 0$ to $n-m$
4. **do if** $P[1..m] = T[s+1..s+m]$
5. **then shift** s is valid

This algorithm takes $\Theta((n-m+1)m)$ in the worst case.

```

a c a a b c a c a a b c
↓
a a b           a a b

a   c   a   a   b   c
   ↓
   a   a   b

a   c   a   a   b   c   matches
   ↓
   a   a   b

```

Rabin-Karp Algorithm

Let $\Sigma = \{0, 1, 2, \dots, 9\}$.

We can view a string of k consecutive characters as representing a length- k decimal number.

Let p denote the decimal number for $P[1..m]$

Let t_s denote the decimal value of the length- m substring $T[s+1..s+m]$ of $T[1..n]$ for $s = 0, 1, \dots, n-m$.

$t_s = p$ if and only if

$T[s+1..s+m] = P[1..m]$, and s is a valid shift.

$p = P[m] + 10(P[m-1] + 10(P[m-2] + \dots + 10(P[2] + 10(P[1])))$

We can compute p in $O(m)$ time.

Similarly we can compute t_0 from $T[1..m]$ in $O(m)$ time.

$$\begin{aligned}
6378 &= 8 + 7 \times 10 + 3 \times 10^2 + 6 \times 10^3 & m=4 \\
&= 8 + 10(7 + 10(3 + 10(6))) \\
&= 8 + 70 + 300 + 6000
\end{aligned}$$

$$p = P[m] + 10(P[m-1] + 10(P[m-2] + \dots + 10(P[2] + 10(P[1])))$$

t_{s+1} can be computed from t_s in constant time.

$$t_{s+1} = 10(t_s - 10^{m-1} T[s+1]) + T[s+m+1]$$

Example : $T = 314152$

$$t_s = 31415, s = 0, m = 5 \text{ and } T[s+m+1] = 2$$

$$t_{s+1} = 10(31415 - 10000 * 3) + 2 = 14152$$

Thus p and t_0, t_1, \dots, t_{n-m} can all be computed in $O(n+m)$ time.

And all occurrences of the pattern $P[1..m]$ in the text $T[1..n]$ can be found in time $O(n+m)$.

However, p and t_s may be too large to work with conveniently.

Do we have a simple solution!!

Computation of p and t_0 and the recurrence is done modulus q .

In general, with a d -ary alphabet $\{0,1,\dots,d-1\}$, q is chosen such that $d \times q$ fits within a computer word.

The recurrence equation can be rewritten as

$$t_{s+1} = (d(t_s - T[s+1]h) + T[s+m+1]) \bmod q,$$

where $h = d^{m-1} \pmod{q}$ is the value of the digit "1" in the high order position of an m -digit text window.

Note that $t_s \equiv p \pmod{q}$ does not imply that $t_s = p$.

However, if t_s is not equivalent to $p \pmod{q}$, then $t_s \neq p$, and the shift s is invalid.

We use $t_s \equiv p \pmod{q}$ as a fast heuristic test to rule out the invalid shifts.

Further testing is done to eliminate spurious hits.

- an explicit test to check whether

$$P[1..m] = T[s+1..s+m]$$

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$$t_{s+1} = (d(t_s - T[s+1]h) + T[s+m+1]) \bmod q$$

$$h = d^{m-1} \pmod{q}$$

Example :

$$T = 31415; \quad P = 26, \quad n = 5, \quad m = 2, \quad q = 11$$

$$p = 26 \bmod 11 = 4$$

$$t_0 = 31 \bmod 11 = 9$$

$$t_1 = (10(9 - 3(10) \bmod 11) + 4) \bmod 11$$

$$= (10(9 - 8) + 4) \bmod 11 = 14 \bmod 11 = 3$$

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Procedure RABIN-KARP-MATCHER(T,P,d,q)

Input : Text T, pattern P, radix d (which is typically = $|\Sigma|$), and the prime q.

Output : valid shifts s where P matches

```
1. n ← length[T];
2. m ← length[P];
3. h ←  $d^{m-1} \bmod q$ ;
4. p ← 0;
5.  $t_0 \leftarrow 0$ ;
6. for i ← 1 to m
7.     do p ←  $(d \times p + P[i]) \bmod q$ ;
8.      $t_0 \leftarrow (d \times t_0 + T[i]) \bmod q$ ;
9. for s ← 0 to n-m
10.    do if p =  $t_s$ 
11.        then if P[1..m] = T[s+1..s+m]
12.            then "pattern occurs with shift " s
13.    if s < n-m
14.        then  $t_{s+1} \leftarrow (d(t_s - T[s+1])h + T[s+m+1]) \bmod q$ ;
```

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Comments on Rabin-Karp Algorithm

- All characters are interpreted as radix-d digits
- h is initiated to the value of high order digit position of an m-digit window
- p and t_0 are computed in $O(m+m)$ time
- The loop of line 9 takes $\Theta((n-m+1)m)$ time

The loop 6-8 takes $O(m)$ time

The overall running time is $O((n-m)+m)$

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Knuth Morris Pratt(KMP) Algorithm

Pseudocode :

```
KMP-Matcher (T, P)
n ← length (T)
m ← length (P)
π ← Compute-Prefix-Function (P)
q ← 0
for i = 1 to n
  while q > 0 and P[q+1] ≠ T [i] ;
    do q ← π [q]
  if P[q+1] = T [i]
    then q ← q + 1
  if q = m
    then print ``Pattern occurs
      with shift" (i - m)
  q ← π [q]
Compute-Prefix-Function (P)
```

Compute Prefix Function (P)

```
m ← length [P]
π[1] ← 0
k ← 0
for q ← 2 to m
  do while k > 0 and P[k+1] ≠ P[q]
    do k ← π[k]
  if P[k+1] = P[q]
    then k ← k+1
  π [q] ← k
return π
```

- Given the pattern $P [1..q]$ matches text chs $T[s+1.. S+q]$

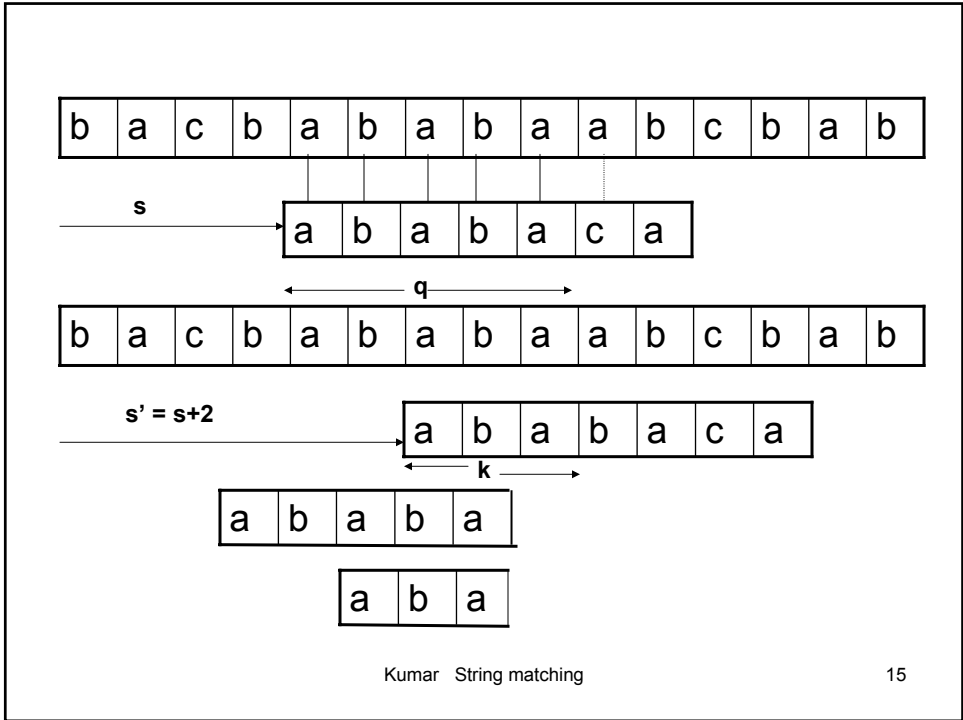
What is the least shift $s' > s$ such that

$P[1..k] = T[s'+1, .. s'+k], s'+k = s+q$

Given pattern $P[1..m]$, the prefix function for the pattern P is the function

$\pi : \{1,2, \dots m\} \rightarrow \{0,1, \dots m-1\}$ such that

$\pi [q] = \max \{ k: k < q \text{ and } P_k \text{ is a suffix of } P_q$



i	1	2	3	4	5	6	7	8	9	10
P[i]	a	b	a	b	a	b	a	b	c	a
$\pi[i]$	0	0	1	2	3	4	5	6	0	1

KMP algorithm (contd..)

Running time analysis of KMP yields $O(m+n)$, because the call of the function takes $O(m)$ time and the remainder KMP matcher algorithm takes $O(n)$ time.

KMP is among the fastest algorithms for large sizes of P and T

Boyer Moore Algorithm

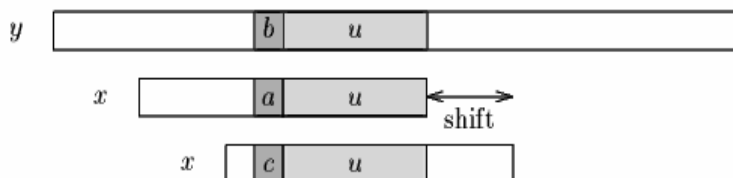
Pseudocode

```
n <-- length [T]  
m <-- length [P]  
∂ <-- COMPUTE-LAST-OCCURRENCE-FUNCTION(P,m,ξ)  
Φ <-- COMPUTE-GOOD-SUFFIX-FUNCTION(P,m)  
S <-- 0  
While s ≤ n - m  
  do j <-- m  
  while j > 0 and P[j] = T[s+j]  
    do j <-- j - 1  
  if j = 0  
    then print "Pattern Occurs at shift " s  
      s <-- s + Φ[0]  
    else s <-- s + max(Φ[j], j - ∂[T[s + j]])  
      s <-- s + 1  
    else s <-- s + 1
```

Boyer Moore Algorithm(contd.)

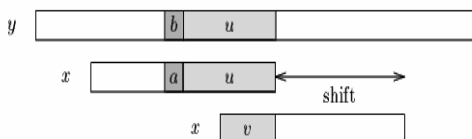
This algorithm is considered as the most efficient algorithm for most of the general applications of string matching.

- ◆ This algorithm scans the pattern from right to left
- ◆ In case of a mismatch it uses 2 pre computed functions
(a) Good-Suffix Shift (b) Bad Character shift(occurrence shift)

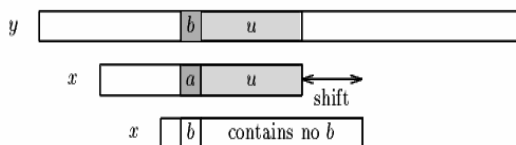


Assume that a mismatch occurs between the character $x[j]=a$ of the pattern and the character $y[i+j]=b$ of the text during an attempt at position j . Then, $x[i+1 .. m-1]=y[i+j+1 .. j+m-1]=u$ and $x[j] \neq y[i+j]$. The good-suffix shift consists in aligning the segment $y[i+j+1 .. j+m-1]=x[i+1 .. m-1]$ with its rightmost occurrence in x that is preceded by a character different from $x[j]$

Boyer Moore Algorithm(contd.)



If there exists no such segment, the shift consists in aligning the longest suffix v of $y[i+j+1 .. j+m-1]$ with a matching prefix of x .



The bad-character shift consists in aligning the text character $y[i+j]$ with its rightmost occurrence in $x[0 .. m-2]$.

Boyer Moore Algorithm(contd.)

First attempt

G C A T C G C A G A G A G T A T A C A G T A C G
 1

G C A G A G A G

Second attempt

G C A T C G C A G A G A G T A T A C A G T A C G
 3 2 1

G C A G A G A G

Third attempt

G C A T C G C A G A G A G T A T A C A G T A C G
 8 7 6 5 4 3 2 1

G C A G A G A G

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Boyer Moore Algorithm(contd.)

Fourth attempt

G C A T C G C A G A G A G T A T A C A G T A C G
 3 2 1

G C A G A G A G

Fifth attempt

G C A T C G C A G A G A G T A T A C A G T A C G
 2 1

G C A G A G A G

Total number of character comparisons 17

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